INTRODUCTION TO GENETIC EPIDEMIOLOGY (1012GENEP1)

Prof. Dr. K. Van Steen

GENOME-WIDE ASSOCIATION STUDIES

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1 Setting the pace

1.a A hype about GWA studies

"'May he live in interesting times.'

Like it or not we live in interesting times."

Robert Kennedy, June 7, 1966

How much (sequence) data are available?

• The complete genome sequence of humans and of many other species provides a new starting point for understanding our basic genetic makeup and how variations in our genetic instructions result in disease.

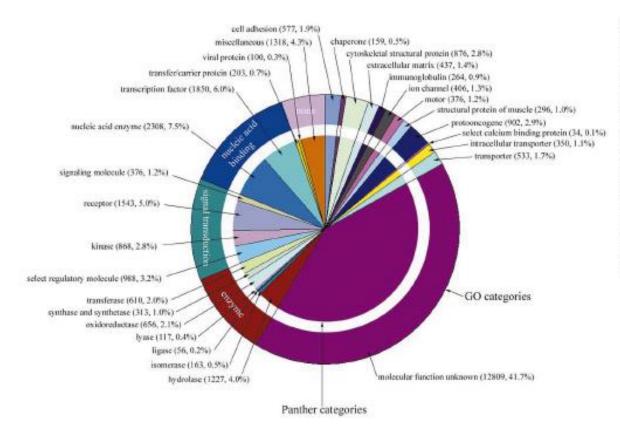


Fig. 15. Distribution of the molecular functions of 26,383 human genes, Each slice lists the numbers and percentages (in parentheses) of human gene functions assigned to a given category of molecular function. The outer circle shows the assignment to molecular function categories in the Gene Ontology (GO) (179), and the the assignment to Celera's Panther molecular function categories (116).

Table 24.1: The history of human genetics discoveries up to the 50th anniversary of the discovery of the DNA helical structure in 1953.

1866	April 1953	1977	1985	1992	1999
Gregor Mendel proposes basic laws of heredity based on pea plants	Francis Crick and James Watson discover double helical nature of DNA	Maxam, Gilbert and Sanger develop DNA sequencing	First use of DNA "fingerprinting" in a criminal investigation	US Army begins collecting blood and tissue from all new recruits as part of a "genetic dog tag" program to give better identification of soldiers killed in combat	USA announce a 3 year mouse genome project First human chromosome sequenced: chromosome 22
1882 Walter Fleming (embryologist) discovers tiny threads in the nuclei of cells of salamander larvae that appeared to be dividing. These later turn out to be chromosomes.	1964 Charles Yanofsky and colleagues prove sequence of nucleotides in DNA correspond exactly to the sequence of amino acids in proteins	1978 First human gene cloned: insulin	1986 First automated sequencer developed Approval for first genetically engineered vaccine for humans, for hepatitis B	1993 First rough map of all 23 chromosomes produced Gene for HD cloned	2000 Drosophila (fruit fly) genome sequenced Chromosomes 5, 16 &19 draft sequence Chromosome 21 sequenced
1883 Francis Galton coins the term eugenics referring to improving the human race	1969 First gene in a piece of bacterial DNA isolated. The gene plays a role in the metabolism of sugar	1980 Mapping human genome proposed using RFLPs (restriction fragment length polymorphisms)	1989 Creation of the National Centre for Human Genome Research (headed by James Watson) which would oversee the Human Genome Project (HGP) to map and sequence the genes in human DNA by 2005	1995 H. influenzae (virus) sequenced Microarray (CHIP) technology developed	2000 June "Working draft" of human genome sequence announced

1910	1970	1982	1990	1996	2001 February
Thomas Morgan's experiments with the fruit fly (Drosophila) reveal some characteristics that are sex-linked: confirms genes reside on chromosomes	Researchers at the University of Wisconsin synthesis a gene from scratch	First genetically engineered drug approved: insulin	Formal launch of the HGP First human gene therapy experiment performed on a 4 yr old girl with an immune deficiency	S. cerevisae (yeast) sequenced	Publication of initial working draft of the human genome published in Science & Nature by the two rival private and public groups
1926	1973	1983	1990	1997	2002
US biologist Hermann Muller discovers X-rays cause genetic mutations in fruit flies	First genetic engineering experiment: Insertion of a gene from an African clawed toad into a bacterium	Genetic marker for the genetic condition Huntington disease (HD) located on chromosome 4	Publication of Michael Crichton's novel "Jurassic Park" in which bio-engineered dinosaurs roam a palaentological theme park: the experiment goes awry	Cloning of "Dolly"	Genome of mouse completed
1944	1975	1985	1991	1998	April 25 th 2003
Oswald Avery, Colin McLeod & Maclyn McCarthy discover	First call for guidelines governing genetic engineering	Kary Mullis develops PCR (polymerase chain reaction) to	First gene involved in inherited predisposition to breast cancer	C. elegans (worm) sequenced	Completion of the mapping of the genes in the human genome
DNA, not protein, is the hereditary material		rapidly reproduce DNA from a	and ovarian cancer (BRCA1) located on		announced setting the stage for determining
in most living		very small sample that	chromosome 17		the function of the then estimated 30, 000 or
organisms		enables genetic testing for health and			so genes
		other applications such as forensics and paternity testing			

THE HUMAN GENOME

The Sequence of the Human Genome

J. Craig Venter, 1* Mark D. Adams, 1 Eugene W. Myers, 1 Peter W. LL, 1 Richard J. Mural, 1 Granger G, Sutton, Hamilton O, Smith, Mark Yandell, Cheryl A, Brans, Robert A, Holt, Jeannine D. Goczyne, 1 Peter Amanatides, 1 Richard M. Ballew, 1 Daniel H. Huson, 1 Jennifer Russo Wortman, 1 Qing Zhang, 1 Chinnappa D, Kodira, 1 Xianggun H, Zheng 1 Lin Chen, 1 Marian Skupski, 1 Gangadharan Subramanian, 1 Paul D. Thomas, 1 Jinghui Zhang, George L. Gabor Miklos, 2 Catherine Nelson, 2 Samuel Broder, 1 Andrew G. Clark, 4 Joe Nadeau, 5 Victor A, McKusick, Norton Zinder, Arnold J, Levine, Richard J, Roberts, Mel Simon, 9 Carolyn Slayman, 10 Michael Hunkapiller, 11 Randall Bolanos, 1 Arthur Delcher, 1 Ian Dew, 1 Daniel Fasulo, 1 Michael Flanigan, 1 Liliana Florea, 1 Aaron Halpern, 1 Sridhar Hannenhalli, 1 Saul Kravitz, 1 Samuel Levy, 1 Clark Mobarry, 1 Knut Reinert, 1 Karin Remington, 1 Jane Abu-Threideh, 1 Ellen Beasley, 1 Kendra Biddick, 1 Vivien Bonazzi, Rhonda Brandon, Michele Cargill, Ishwar Chandramouliswaran, Rosane Charlab, Kabir Chaturvedi, ¹ Zuoming Deng, ¹ Valentina Di Francesco, ¹ Patrick Dunn, ¹ Karen Eilbeck, ¹ Carlos Brangelista, Andrei E. Gabrielian, Weiniu Gan, Wangmao Ge, Fangcheng Gong, Zhiping Gu, Ping Guan, Thomas J. Heiman, Maureen E. Higgins, Rui Ru Ji, Zhaoxi Ke, Karen A. Ketchum, Zhongwu Lai,1 Yiding Lei,1 Zhenya Li,1 Jiayin Li,1 Yong Liang,1 Xiaoying Lin,1 Fu Lu,1 Gennady V. Merkulov, 1 Natalia Milshina, 1 Helen M. Moore, 1 Ashwinikumar K Naik, Vaibhav A. Narayan, Beena Neelam, Deborah Nusskern, Douglas B. Rusch, Steven Salzberg, 12 Wei Shao, 1 Bixiong Shue, 1 Jingtao Sun, 1 Zhen Yuan Wang, 1 Aihui Wang, 1 Xin Wang, 1 Jian Wang, 1 Ming-Hui Wei, 1 Ron Wides, 12 Chunlin Xiao, 1 Chunhua Yan, 1 Alison Yao, 1 Jane Ye, 1 Ming Zhan, Weiging Zhang, Hongyu Zhang, Qi Zhao, Liansheng Zheng, Fei Zhong, Wenyan Zhong, Shiaoping C, Zhu, Shaying Zhao, 2 Dennis Gilbert, Suzanna Baumhueter, Gene Spier, Christine Carter, Anibal Cravchik, Trevor Woodage, Feroze Ali, Huijin An, Aderonke Awe, Danita Baldwin, Holly Baden, Mary Barnstead, Ian Barrow, Karen Beeson, Dana Busam, Army Carver, Angela Center, Ming Lai Cheng Lit Curry, Steve Danaher, Lionel Davenport, Raymond Desilets, Susanne Dietz, Kristina Dodson, Lisa Doup, Steven Ferriera, Neha Garg, Andres Gluecksmann, 1 Brit Hart, 1 Jason Haynes, 1 Charles Haynes, 1 Cheryl Heiner, 1 Suzanne Hladun, 1 Damon Hostin, 1 Jarrett Houck, 1 Timothy Howland, 1 Chinyere Ibegwam, 1 Jeffery Johnson, 1 Francis Kalush, Lesley Kline, Shashi Koduru, Amy Love, Felecia Mann, David May, Steven McCawley, ¹ Tina McIntosh, ¹ Ivy McMullen, ¹ Mee Moy, ¹ Linda Moy, ¹ Brian Murphy, ¹ Keith Nelson, ¹ Cynthia Pfannkoch, ¹ Eric Pratts, ¹ Vinita Puri, ¹ Hina Qureshi, ¹ Matthew Reardon, ¹ Robert Rodriguez, Yu-Hui Rogers, Deanna Romblad, Bob Ruhfel, Richard Scott, Cynthia Sitter, 1 Michelle Smallwood, Erin Stewart, Renee Strong, Ellen Suh, Reginald Thomas, Ni Ni Tint, Sukyee Tse, 1 Claire Vech, 1 Gary Wang, 1 Jeremy Wetter, 1 Sherita Williams, 1 Monica Williams, 1 Sandra Windsor, 1 Emily Winn-Deen, 1 Keriellen Wolfe, 1 Jayshree Zaveri, 1 Karena Zaveri, 1 Josep F, Abril, 14 Roderic Guigo, 14 Michael J, Campbell, 1 Kimmen V, Sjolander, 1 Brian Kartak, 1 Anish Kejariwal, 1 Huaiyu Mi, 1 Betty Lazarova, 1 Thomas Hatton, 1 Apurva Narechania, 1 Karen Diemer, 1 Anushya Muruganujan, 1 Nan Guo, 1 Shinji Sato, 1 Vineet Bafna, 1 Sorin Istrail, 1 Ross Lippert, 1 Russell Schwartz, Brian Walenz, Shibu Yooseph, David Allen, Anand Basu, James Baxendale, Louis Blick, 1 Marcelo Caminha, 1 John Carnes-Stine, Parris Caulk, 1 Yen-Hui Chiang, 1 My Coyne, 1 Carl Dahlke, 1 Anne Deslattes Mays, 1 Maria Dombroski, 1 Michael Donnelly, 1 Dale Ely, 1 Shiva Esparham, 1 Carl Fosler, Harold Gire, Stephen Glanowski, Kenneth Glasser, Anna Glodek, Mark Gorokhov, Ken Graham, Barry Gropman, Michael Harris, Jeremy Heil, Scott Henderson, Jeffrey Hoover, Donald Jennings, Catherine Jordan, James Jordan, John Kasha, Leonid Kagan, Cheryl Kraft, Alexander Levitsky, 1 Mark Lewis, 1 Xiangjun Liu, 1 John Lopez, 1 Daniel Ma, 1 William Majoros, 1 Joe McDaniel, Sean Murphy, Matthew Newman, Trung Nguyen, Ngoc Nguyen, Marc Nodell, Sue Pan, Jim Peck, Marshall Peterson, William Rowe, Robert Sanders, John Scott, 1 Michael Simpson, Thomas Smith, Arlan Sprague, Timothy Stockwell, Russell Turner, Eli Venter, Mei Wang, Meiyuan Wen, David Wu, Mitchell Wu, Ashley Xia, Ali Zandieh, Xiaohong Zhu

16 FEBRUARY 2001 VOL 201 SCIENCE www.sciencemag.org



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International HapMap Consortium. A haplotype map of the human genome. Nature, 437: 1229-1320. 2005. [Full Text]

Klein, R.J., Zeiss, C., Chew, E.Y., Tsai, J.Y., et al. Complement factor H polymorphism in age-related macular degeneration. Science, 308: 385-389. 2005. [PubMed]

To view the PDFs on this page, you will need Adobe Reader.



Project Started

is Sequenced

Launched

The Future

2006b: Second Non-human Primate Genome

2006c: Initiatives to Establish the Genetic and Environmental Causes of Common Diseases

BREAKTHROUGH OF THE YEAR

Human Genetic Variation

Equipped with faster, cheaper technologies for sequencing DNA and assessing variation in genomes on scales ranging from one to millions of bases, researchers are finding out how truly different we are from one another

THE UNVEILING OF THE HUMAN GENOME ALMOST 7 YEARS AGO cast the first faint light on our complete genetic makeup. Since then, each new genome sequenced and each new individual studied has illuminated our genomic landscape in ever more detail. In 2007, researchers came to appreciate the extent to which our genomes differ from person to person and the implications of this variation for deciphering the genetics of complex diseases and personal traits.

Less than a year ago, the big news was triangulating variation between us and our primate cousins to get a better handle on genetic changes along the evolutionary tree that led to humans. Now, we have moved from asking what in our DNA makes us human to striving to know what in my DNA makes me me.

Inversion Insertion Deletion Copy number variation What makes us unique. Changes in the number and order of genes (A-D) add variety to the human genome. Reference

Pennisi 2007 Science 318:1842-3



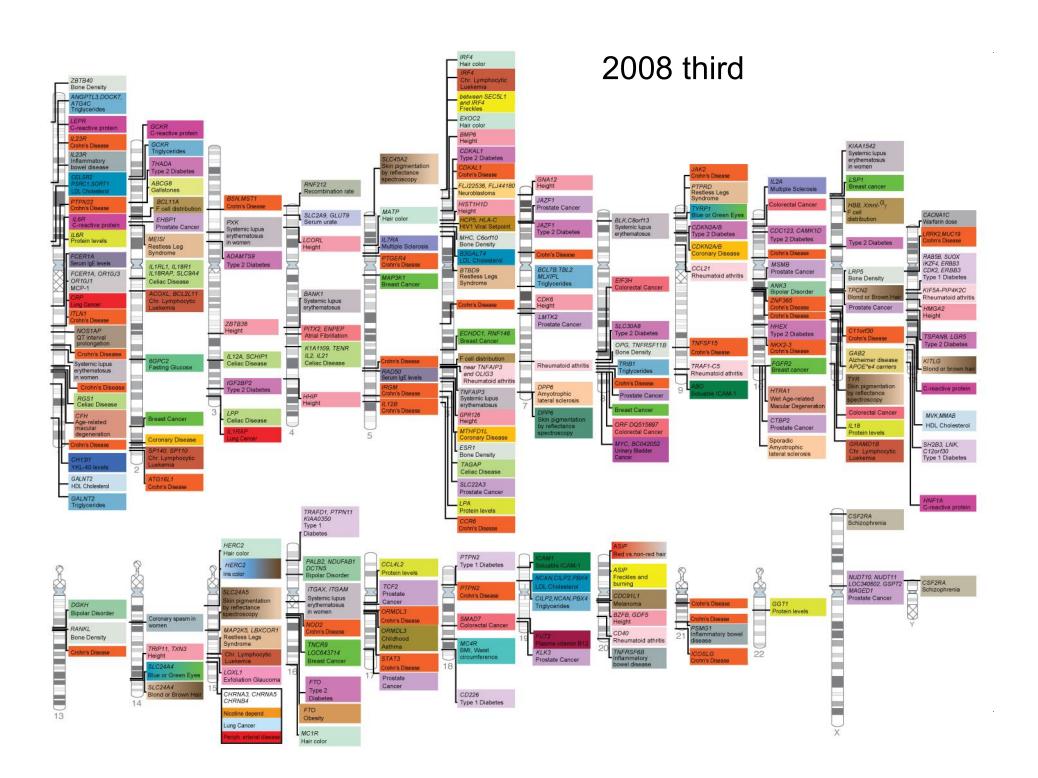
BREAKTHROUGH OF THE YEAR: The Runners-Up

Science 314, 1850a (2006);

DOI: 10.1126/science.314.5807.1850a

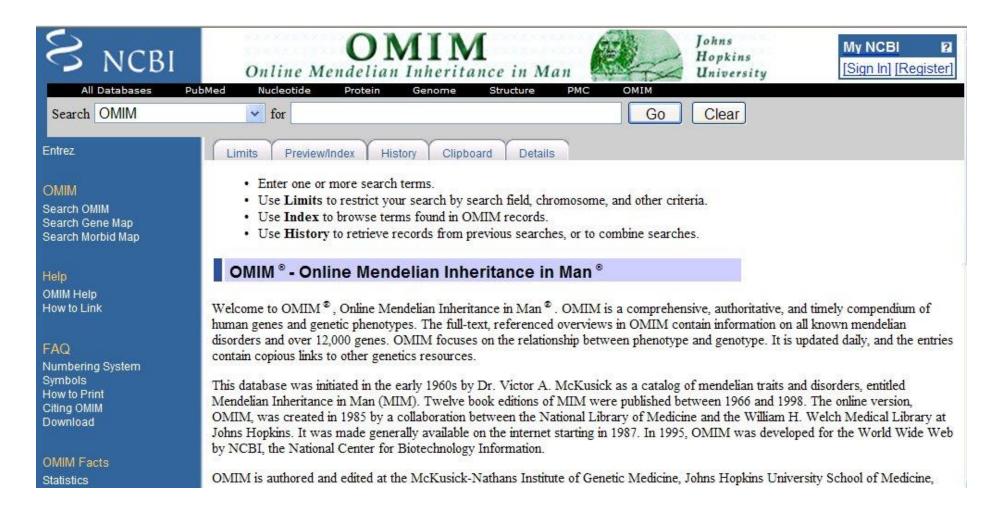
Areas to Watch in 2007

Whole-genome association studies. The trickle of studies comparing the genomes of healthy people to those of the sick is fast becoming a flood. Already, scientists have applied this strategy to macular degeneration, memory, and inflammatory bowel disease, and new projects on schizophrenia, psoriasis, diabetes, and more are heating up. But will the wave of data and new gene possibilities offer real insight into how diseases germinate? And will the genetic associations hold up better than those found the old-fashioned way?



• The pace of the molecular dissection of human disease can be measured by looking at the catalog of human genes and genetic disorders identified so far in *OMIM*, which is updated daily (www.ncbi.nlm.nih.gov/omim).

(V. A. McKusick, Mendelian Inheritance in Man (Johns Hopkins Univ. Press, Baltimore, ed. 12, 1998))



What is OMIM?

- Online Mendelian Inheritance in Man (OMIM®) is a continuously updated catalog of human genes and genetic disorders and traits, with particular focus on the molecular relationship between genetic variation and phenotypic expression.
- It is thus considered to be a phenotypic companion to the Human Genome Project. OMIM is a continuation of Dr. Victor A. McKusick's Mendelian Inheritance in Man, which was published through 12 editions, the last in 1998.
- OMIM is currently biocurated at the McKusick-Nathans Institute of Genetic Medicine, The Johns Hopkins University School of Medicine.
- Frequently asked questions: http://www.omim.org/help/faq

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nucleotides, proteins,

Databases

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Maps

genome and model

Tools

for data mining and

Research at NCBI

Software

Education

· Database Statistics

o General tips for obtaining Entrez database

Additional statistics web pages for specific databases:

BLAST

OMIM

- Consensus CDS (CCDS) Database
- dbEST
- dbGSS
- dbSNP
- GenBank
- Gene database
- Gene Expression Omnibus (GEO)
- OMIM
- RefSeq
- Taxonomy
- Genome Statistics
 - Entrez Genome (database statistics)
 - Statistics for Individual Prokaryotic and Viral Genomes
 - Statistics for Individual Eukaryotic Genomes
- Usage Statistics
 - PubMed Usage

General tips for obtaining Entrez database statistics

You can determine the number of records in a given Entrez database by viewing the index of the Filter field. Each database has the term "all" in its Filter field. The number in parentheses beside that term is the number of records currently present in the database.

For example, to see the number of records in the PubMed database, follow these steps (the links will open in a separate window). Similar steps can be used to see the number of records in PubMed Central, in the MMDB Structure database, etc.

- . From the Entrez home page, follow the link for the PubMed database
- . On the PubMed database page, select Preview/Index from the grey area under the search box

There are two search boxes on the

OMIM Statistics



The blue sidebar of the <u>Online Mendelian Inheritance in Man (OMIM)</u> home page includes a link to <u>OMIM statistics</u>. That shows the total number of records in the database, as well as the breakdown of the number of records in categories that correspond to the <u>MIM number prefixes</u>:

no prefix	Other, mainly phenotypes with suspected mendelian basis
%	Mendelian phenotype or locus, molecular basis unknown
#	Phenotype description, molecular basis known
+	genes with known sequence and phenotype
*	genes with known sequence

RefSeq Statistics



The NCBI FTP site for RefSeq includes statistics for the <u>current release</u> and <u>past releases</u>.

Taxonomy Statistics



The NCBI Taxonomy home page includes a link to taxonomy statistics. By default, the cumulative, current statistics are shown for the number of higher taxa, genera, species, and lower taxa represented in NCBI's taxonomy database. The number of taxa that were added in any particular year can be viewed by following the link for the year of interest.

As noted in the <u>Taxonomy database summary description</u> in the Resource Guide, the NCBI Taxonomy Database contains the names and lineages of living and extinct organisms that are represented in the genetic databases with at least one nucleotide or protein sequence. New organisms are added to the database as sequence data are deposited for them. The purpose of the taxonomy project at NCBI is to build a consistent phylogenetic taxonomy for the sequence databases.







OMIM Statistics for October 22, 2012

Entrez

Search OMIM Search Gene Map Search Morbid Map

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Genetic Alliance Databases HGMD

Locus-Specific Model Organisms MitoMap Phenotype Human/Mouse/Rat Homology Maps Coriell

The Jackson Laboratory Human Gene Nomenclature

Entrez Gene Genes and Disease Map Viewer Genome Assembly

Number of Entries

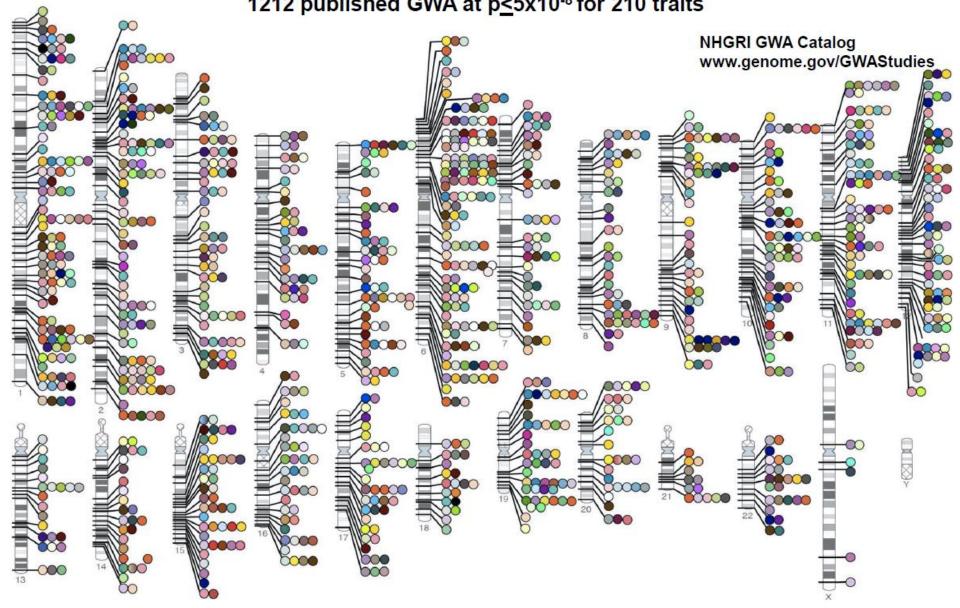
	Autosomal	X-Linked	Y-Linked	Mitochondrial	Total
* Gene with known sequence	13304	649	48	<u>35</u>	14036
+ Gene with known sequence and phenotype	140	4	0	2	146
# Phenotype description, molecular basis known	3311	<u>265</u>	4	28	3608
Mendelian phenotype or locus, molecular basis unknown	<u>1625</u>	134	<u>5</u>	0	1764
Other, mainly phenotypes with suspected mendelian basis	1772	125	2	0	1899
Total	20152	1177	<u>59</u>	65	21453

Synopsis of the Human Gene Map

Chr.	Loci	Chr.	Loci	Chr.	Loci
1	1353	9	516	17	785
2	864	10	498	18	198
3	725	11	835	19	863
4	535	12	715	20	348
5	628	13	255	21	147
6	812	14	439	22	331
7	631	<u>15</u>	411	X	732
8	482	16	562	Y	46

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Published Genome-Wide Associations through 12/2010, 1212 published GWA at p≤5x10-8 for 210 traits



1.b Genetic terminology revisited

What is genetic epidemiology?

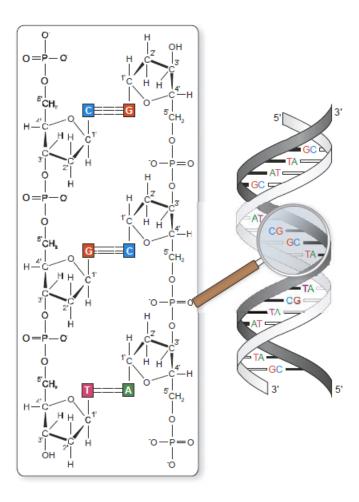
"... Examining the **role of genetic factors**, along with the **environmental contributors to disease**, and at the same time giving equal attention to the differential **impact of environmental agents**, **non-familial** as well as **familial**, on **different genetic backgrounds**"

"It is the discipline investigating genetic and environmental factors that influence the development and distribution of diseases. It differs from epidemiology in that explicitly genetic factors and similarities within families are taken into account. On the other hand, it can be distinguished from medical genetics by considering populations rather than single patients or families."

Where is the genetic information located?

- Cell has nucleus
- Nucleus carries genetic information in chromosomes
- Chromsomes composed of desoxyribonucleic acid (DNA) and proteins
- DNA large molecule consisting in two strands
- Each strand has backbone of sugar and phosphate residues
- Sequence of bases attached to backbone
- Bases: adenine (A), guanine (G), cytosine (C), thymine (T)
- Strands connected through hydrogen bonds
 - A with T (2 hydrogen bonds)
 - C with G (3 hydrogen bonds)

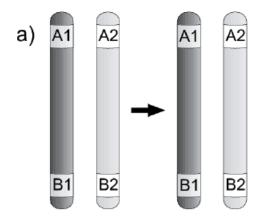
Where is the genetic information located?

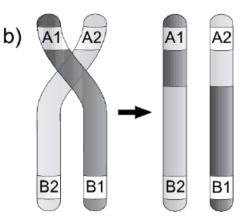


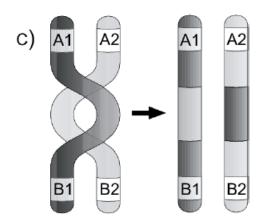
Where is the genetic information located?

- Chromosomes are
 - Linear arrangements of DNA
 - 22 autosomal pairs in humans
 - 2 sex chromosomes (X and Y)
- Pair of chromosomes called homologs
- Meiosis: special type of cell division
- Crossover: chromosomal segment exchange between homologs during meiosis
- Average # crossovers: $55 \times \text{in males}$, $1.5 \times \text{higher in females}$
- Result of crossover: recombination of non-parental chromosomes in two of the meiotic products

What is recombination?







- Relevant measure: recombination fraction (probability of odd number of crossovers) between two chromosomal positions
- Strong correlation between recombination fraction and distance in base pairs

How much do individuals differ with respect to genetic information?

- Allele: one of several alternative forms of DNA sequence at specific chromosomal location (locus)
- Genetic marker: polymorphic DNA sequence at single locus
- Polymorphism: existence of ≥ 2 alleles at single locus
- Homozygosity (homozygous): both alleles identical at locus
- Heterozygosity (heterozygous): different alleles at locus
- Mutation:
 - Changes allele at specific chromosomal position
 - Frequency $\approx 10^{-4}$ to $10^{-6} \Rightarrow$ Individuals differ with freq. of 1/1000 bases

How much do individuals differ with respect to genetic information?

- **Genotype**: The two alleles inherited at a specific locus. If the alleles are the same, the genotype is homozygous, if different, heterozygous. In genetic association studies, genotypes can be used for analysis as well as alleles or haplotypes.
- **Haplotype**: Linear arrangements of alleles on the same chromosome that have been inherited as a unit. A person has two haplotypes for any such series of loci, one inherited maternally and the other paternally. A haplotype may be characterized by a single allele unless a discrete chromosomal segment flanked by two alleles is meant.



http://www.dorak.info/epi/glosge.html

Are haplotypes always better in association studies for "disease"?

 Analyses based on phased haplotype data rather than unphased genotypes may be quite powerful...

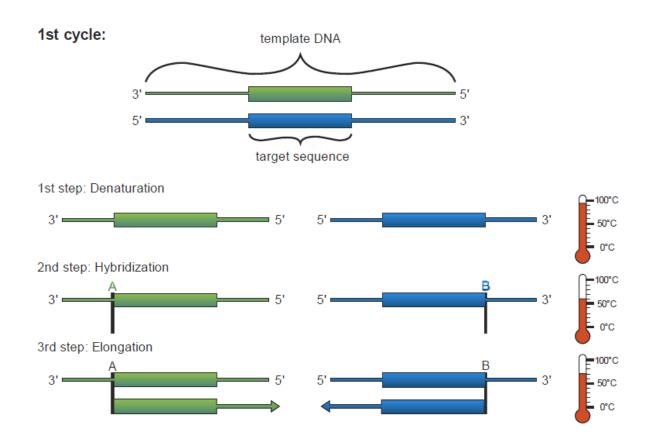
Test 1 vs. 2 for M1: D + d vs. d

Test 1 vs. 2 for M2: D + d vs. d

Test haplotype H1 vs. all others: D vs. d

• If the **Disease Susceptibility Locus** (DSL) is located at a marker, haplotype testing can be *less powerful*

How can individual differences be detected?



What are microsatellite markers?

- Synonymous: short tandem repeat, STR
- Number of repeats varies between individuals
 - Mononucleotide, dinucleotide, trinucleotide, tetranucleotide, non-integer
 STRs
- Determine allele length (e.g., 133, 136, 139, 142, ...)
- Occurrence in non-coding regions
- High mutation frequency $\approx 10^{-2} 10^{-4}$ events per locus per generation
- Not easy to score automatically
- Frequent but not dense enough for some applications

What are single nucleotide polymorphisms?

- Variations in single base, i.e., one base substituted by another base
- In theory: four different nucleotides possible at base
- In practice: generally only two different nucleotides observed
- Definition strict and loose:
 - Strict: minor allele frequency ≥ 1%
 - Loose: ≥ 2 nucleotides observed in two individuals at position

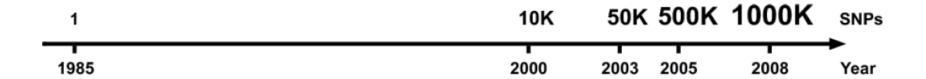
Nomenclature:

- ss-number (submitted SNP number)
- o rs-number: searchable in dbSNP, mapped to external resources, unique
- o rs-numbers do not provide information about possible function of SNP
- Alternative: nomenclature of Human Genome Variation Society

Why are SNPs preferred over STRs?

- SNPs very frequent

 dense marker map
- Some SNPs functionally relevant -> candidate variations for disease
- SNPs more stable, i.e., lower mutation rate
- Genotyping in highly automated fashion



Which genotyping methods are currently being used?

Method	Principle	Thru-put
Allele-specific PCR	1 common reverse primer, 2 forward allele-	Low
	specific primers with different tails, amplification	
	of two allele-specific PCR products of different	
	lengths, separation by gel electrophoresis	
RFLP analysis	DNA sample digested by restriction enzymes,	Low
	resulting restriction fragments separated	
	according to their lengths by gel electrophoresis	
Pyrosequencing	Single strand sequencing, enzymatic synthesizing	Middle
	of complementary strand	
SNPstream	Single-base primer extension technology	Middle /
		High

Which genotyping methods are currently being used?

Method	Principle	Thru-put
TaqMan	Quantitative real-time PCR, allele-specific	Middle
	TaqMan probes	
SNPlex	Oligonucleotide ligation/PCR and capillary	Middle
	electrophoresis	
Affymetrix	Microarray based, fluorescence labeled DNA	Ultra-high
Illumina	Microarray based, fluorescence labeled DNA	Ultra-high

1.c Genetic association studies

What is a genome-wide association study?

- It refers to a method / methodology for interrogating all 10 million variable points across the human genome.
- Since variation is inherited in groups, or blocks, not all 10 million points have to be tested.
- Blocks are shorter though (so need for testing more points) the less closely people are related.



Funding Opportunities (RFAs, PAs) & Notices

Unsolicited Applications (Parent Announcements)

Research Training & Career Development

Small Business (SBIR/STTR)

Contract Opportunities

NIH-Wide Initiatives

Stem Cell Information

New and Early Stage Investigators

Genome-Wide Association Studies (GWAS)

NIH Roadmap for Medical Research

Global OER Resources

Glossary & Acronyms

The NIH is interested in advancing genome-wide association studies (GWAS) to identify common genetic factors that influence health and disease. For the purposes of this policy, a genome-wide association study is defined as any study of genetic variation across the entire human genome that is designed to identify genetic associations with observable traits (such as blood pressure or weight), or the presence or absence of a disease or condition. Whole genome information, when combined with clinical and other phenotype data, offers the potential for increased understanding of basic biological processes affecting human health, improvement in the prediction of disease and patient care, and ultimately the realization of the promise of personalized medicine. In addition, rapid advances in understanding the patterns of human genetic variation and maturing high-throughput, cost-effective methods for genotyping are providing powerful research tools for identifying genetic variants that contribute to health and disease. The purpose of this Website is to support the implementation of the GWAS Policy.

The NIH will continue to release additional guidance information on this site. Please e-mail GWAS@mail.nih.gov with any questions.

Recent News

- NIH Background Fact Sheet on GWAS Policy Update (08/28/2008) (PDF 40 KB)
- . NIH Modifications to Genome-Wide Association Studies (GWAS) Data Access (08/28/2008) (PDF 43 KB)

Data Access Information

- Senior Oversight Committee (SOC) Charge and Roster (07/10/2008) (PDF 103 KB)
- Data Access Committees (DACs) Charge and Roster (07/10/2008) (PDF 50 KB)



Home > Educational Resources > Fact Sheets > Genome-Wide Association Studies

Genome-Wide Association Studies

- Mhat is a genome-wide association study?
- Mhy are such studies possible now?
- Mow will genome-wide association studies benefit human health?
- Mhat have genome-wide association studies found?
- How are genome-wide association studies conducted?
- Mow can researchers access data from genome-wide association studies?
- Mhat is NIH doing to support genome-wide association studies?

What is a genome-wide association study?

A genome-wide association study is an approach that involves rapidly scanning markers across the complete sets of DNA, or genomes, of many people to find genetic variations associated with a particular disease. Once new genetic associations are identified, researchers can use the information to develop better strategies to detect, treat and prevent the disease. Such studies are particularly useful in finding genetic variations that contribute to common, complex diseases, such as asthma, cancer, diabetes, heart disease and mental illnesses.



Top of page

Why are such studies possible now?

With the completion of the Human Genome Project in 2003 and the International HapMap Project in 2005, researchers now have a set of research tools that make it possible to find the genetic contributions to common diseases. The tools include computerized databases that contain the reference human genome sequence, a map of human genetic variation and a set of new technologies that can quickly and

What is a genome-wide association study?

- Hence, a genome-wide association study is an approach that involves rapidly scanning markers across the complete sets of DNA, or genomes, of many people to find genetic variations associated with a particular disease.
- Once new genetic associations are identified, researchers can use the information to develop better strategies to detect, treat and prevent the disease.

(http://www.genome.gov/pfv.cfm?pageID=20019523)

• The impact on medical care from genome-wide association studies could potentially be substantial. Such research is laying the groundwork for the era of personalized medicine, in which the current one size-fits-all approach to medical care will give way to more customized strategies.

What do we need to carry out a genome-wide association study?

- The tools include
 - computerized databases that contain the reference human genome sequence,
 - a map of human genetic variation and
 - a set of new technologies that can quickly and accurately analyze whole-genome samples for genetic variations that contribute to the onset of a disease.

(http://www.genome.gov/pfv.cfm?pageID=20019523)

What do we need to carry out a genome-wide association study?

PERSPECTIVE

DRINKING FROM THE FIRE HOSE — STÅTISTICÅL ISSUES IN GENOMEWIDE ÅSSOCIÅTION STUDIES

STATISTICS AND MEDICINE

Drinking from the Fire Hose — Statistical Issues in Genomewide Association Studies

David J. Hunter, M.B., B.S., and Peter Kraft, Ph.D.

Related article, page 443

The past 3 months have seen the publication of a series of studies examining the inherited genetic underpinnings of common diseases such as prostate cancer, breast cancer, diabetes, and in this issue of the Journal, coronary artery disease (reported by Samani et al., pages 443-453). These genomewide association studies have been able to examine interpatient differences in inherited genetic variability at an unprecedented level of resolution, thanks to the development of microarrays, or chips, capable of as-

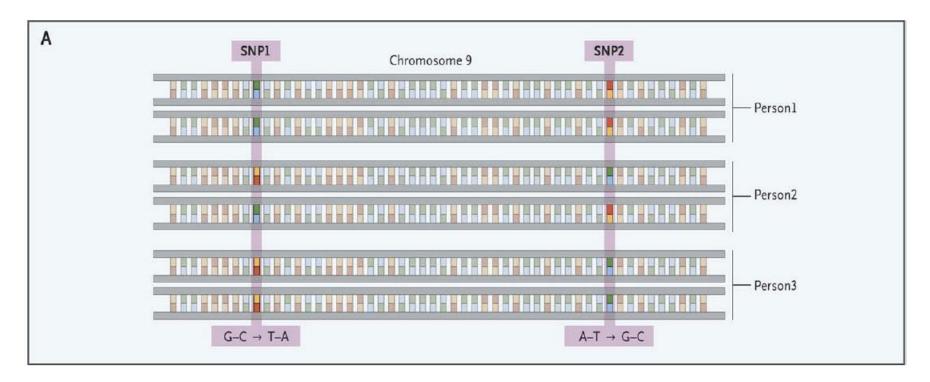
ating the need for guessing which genes are likely to harbor variants affecting risk. Most of the robust associations seen in this type of study have not been with genes previously suspected of being related to the disease. Some of these associations have been found in regions not even known to harbor genes, such as the 8q24 region, in which multiple variants have been found to be associated with prostate cancer.2 Such findings promise to open up new avenues of research, through both the discovery of new genes rele-

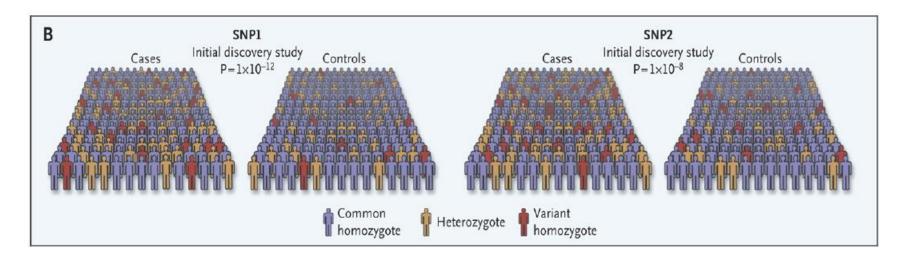
The main problem with this strategy is that, because of the high cost of SNP chips, most studies are somewhat constrained in terms of the number of samples and thus have limited power to generate P values as small as 10-7. In addition, most variants identified recently have been associated with modest relative risks (e.g., 1.3 for heterozygotes and 1.6 for homozygotes), and many true associations are not likely to exceed P values as extreme as 10-7 in an initial study. On the other hand, a "statistically significant" finding

What do we need to carry out a genome-wide association study?

- To distinguish between true and chance effects, there are several routes to be taken:
 - Set tight standards for statistical significance
 - Only consider patterns of polymorphisms that could plausibly have been generated by causal genetic variants (use understanding of and insights into human genetic history or evolutionary processes such as recombination or mutation)
 - Adequately deal with distorting factors, including missing data and genotyping errors (quality control measures)

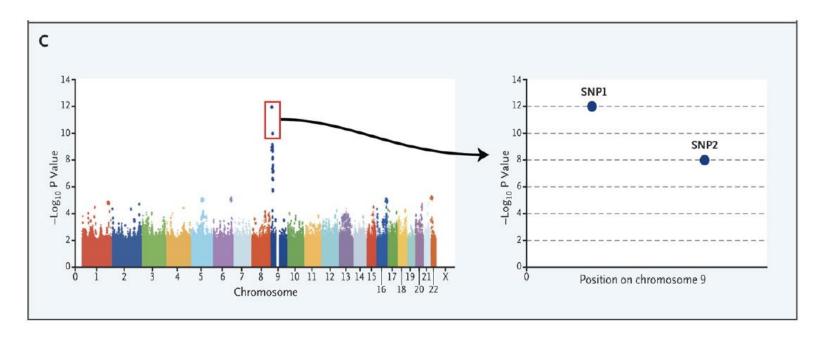
The genome-wide association study is typically (but not solely!!!) based on a case-control design in which single-nucleotide polymorphisms (SNPs) across the human genome are genotyped ... (Panel A: small fragment)





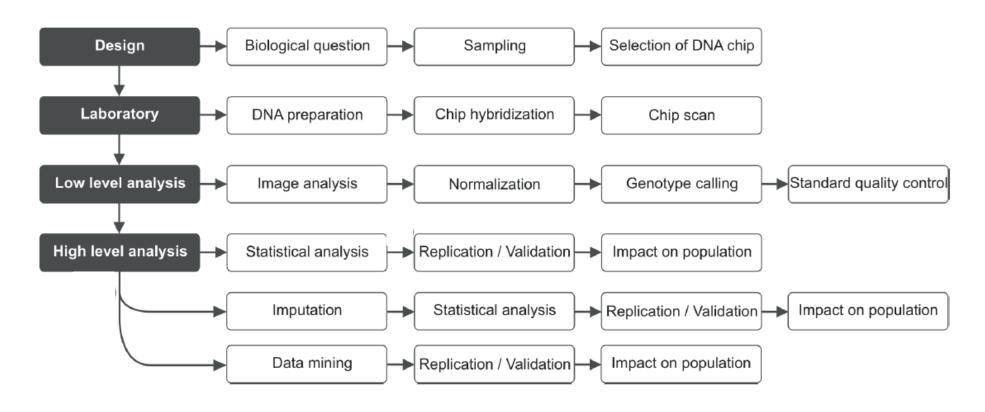
• Panel B, the strength of association between each SNP and disease is calculated on the basis of the prevalence of each SNP in cases and controls. In this example, SNPs 1 and 2 on chromosome 9 are associated with disease, with P values of 10⁻¹² and 10⁻⁸, respectively

(Manolio 2010)



- The plot in Panel C shows the P values for all genotyped SNPs that have survived a quality-control screen, with each chromosome shown in
- a different color. The results implicate a locus on chromosome 9, marked by SNPs 1 and 2, which are adjacent to each other (graph at
- right), and other neighboring SNPs.

(Manolio 2010)



(Ziegler 2009)

2 Study Designs

What are the components of a study design for GWA studies?

- The design of a genetic association study may refer to
 - study scale:
 - Genome-wide
 - Genomic
 - marker design:
 - Which markers are most informative? Microsatellites? SNPs? CNVs?
 - Which platform is the most promising?
 - subject design

Does scale matter?

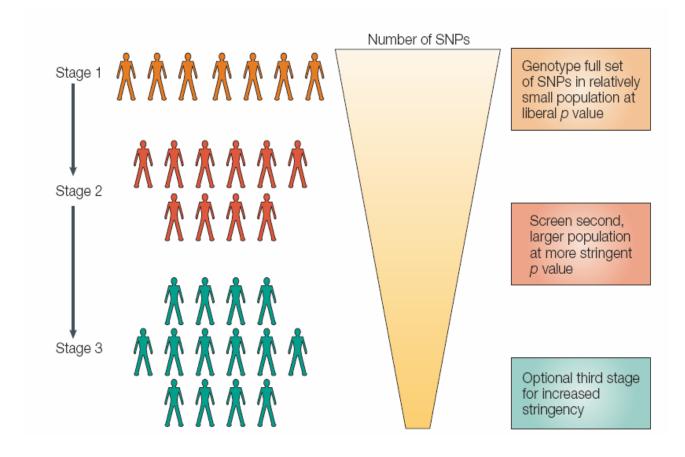
candidate gene approach

VS

genome-wide screening approach

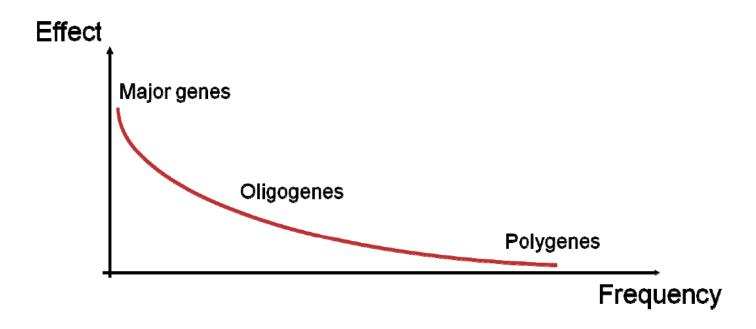


Does scale matter?



Which genetic markers to select?

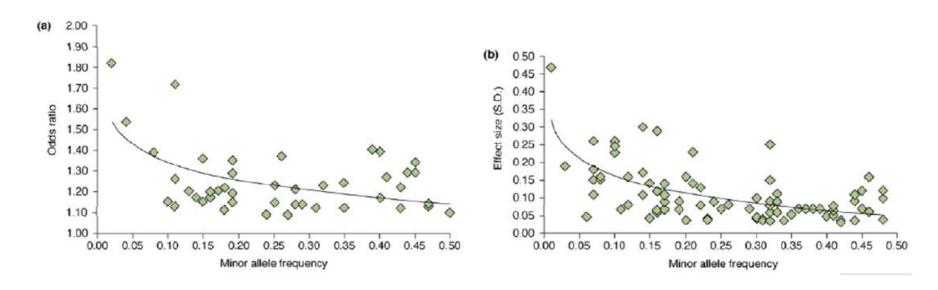
The **Common Disease/Common Variant** hypothesis (CDCV)



 Continuous distribution of genetic variants, shaped by mutation and selection

Dichotomous Traits

Quantitative Traits



Arking & Chakravarti 2009 Trends Genet

Observations:

- The higher the MAF (minor allele frequency), the higher the detection rate?
- The higher the MAF, the lower the penetrance?

Types of genetic diseases

• Monogenic diseases are those in which defects in a single gene produce disease. Often these disease are severe and appear early in life, e.g., cystic fibrosis. For the population as a whole, they are relatively rare. In a sense, these are pure genetic diseases: They do not require any environmental factors to elicit them. Although nutrition is not involved in the causation of monogenic diseases, these diseases can have implications for nutrition. They reveal the effects of particular proteins or enzymes that also are influenced by nutritional factors

(http://www.utsouthwestern.edu)

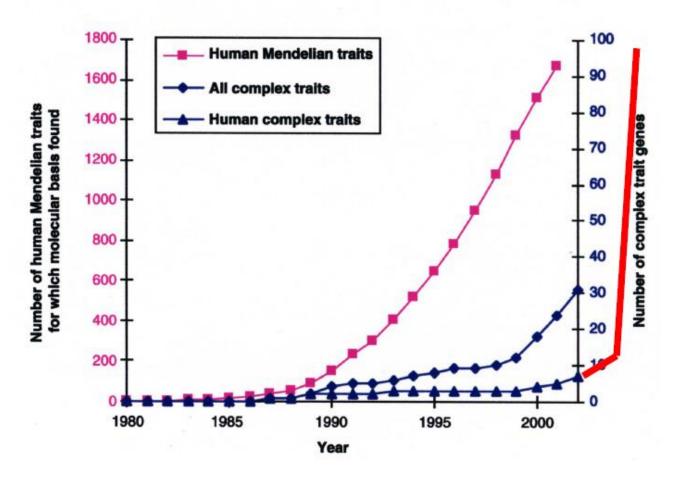
- Oligogenic diseases are conditions produced by the combination of two, three, or four defective genes. Often a defect in one gene is not enough to elicit a full-blown disease; but when it occurs in the presence of other moderate defects, a disease becomes clinically manifest. It is the expectation of human geneticists that many chronic diseases can be explained by the combination of defects in a few (major) genes.
- A third category of genetic disorder is **polygenic disease**. According to the polygenic hypothesis, many mild defects in genes conspire to produce some chronic diseases. To date the full genetic basis of polygenic diseases has not been worked out; multiple interacting defects are highly complex !!!

(http://www.utsouthwestern.edu)

- **Complex diseases** refer to conditions caused by many contributing factors. Such a disease is also called a multifactorial disease.
 - Some disorders, such as sickle cell anemia and cystic fibrosis, are caused by mutations in a single gene.
 - Common medical problems such as heart disease, diabetes, and obesity likely associated with the effects of multiple genes in combination with lifestyle and environmental factors, all of them possibly interacting.

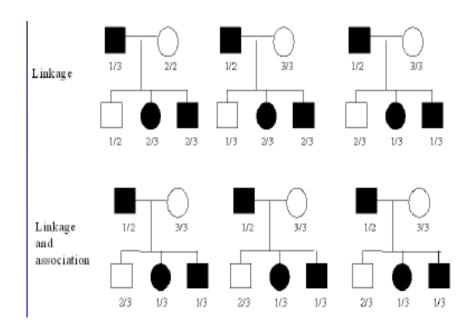


Challenge for many years to come ...



(Glazier et al 2002)

Which genetic markers to select?



(Figure: courtesy of Ed Silverman)

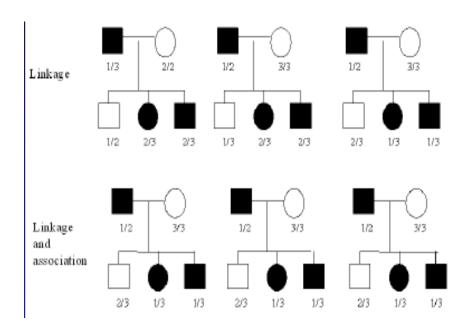
- Linkage exists over a very broad region, entire chromosome can be done using data on only 400-800 DNA markers
- Broad linkage regions imply studies must be followed up with more DNA markers in the region
- Must have family data with more than one affected subject

E.g., microsatellites

Which genetic markers to select?

- Association exists over a narrow region; markers must be close to disease gene
 - The basic concept is linkage disequilibrium (LD) – see later in this chapter
- Initially used for candidate genes or in linked regions
- Can use population-based (unrelated cases) or familybased design

E.g., SNPs



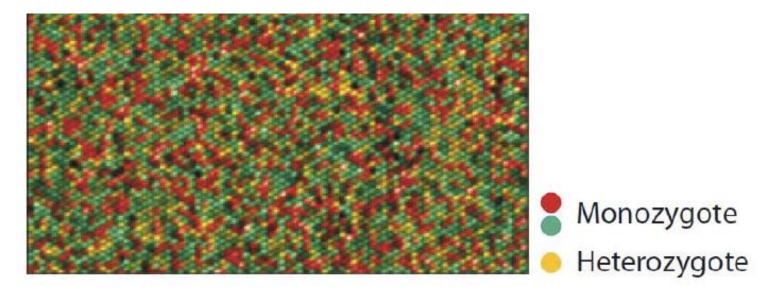
The Future of Genetic Studies of Complex Human Diseases

Neil Risch and Kathleen Merikangas

SCIENCE • VOL. 273 • 13 SEPTEMBER 1996

Which DNA SNPs to select?

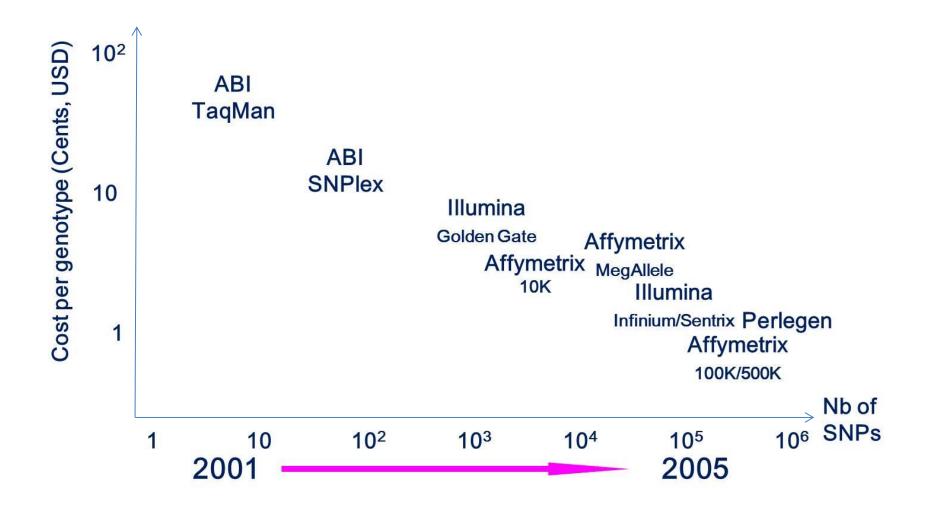
• Costs may play a role, but a balance is needed between costs and chip performance as well as coverage (e.g., exonic regions only?)



Illumina 610S Quad Beadchip Ragoussis 2009 Annu Rev Genomics Hum Genet

• Some of the fundamental principles of array technology (see future class)

Which DNA SNPs to select? (adapted from Manolio 2010)



How can technology bias be avoided?

- Standard experimental design problems
 - Cases and controls not balanced / randomized across plates
 - Controls borrowed from other studies
 - Trios/families split across plates
 - Genotyping performed at different sites and / or using different technologies and / or chips
- Consequences of design problems
 - Batch effects
 - High type I error fractions
 - Up to 50% of top hits discarded
 - Analyses of copy number variation extremely compromised

(Ziegler and Van Steen, Brazil 2010)

How can technology bias be avoided?

DNA extraction

- Same site
- Same tissue (e.g., blood only)
- Same extraction kit
- Same time between freezing
- Same collection time of cases and controls
- Avoid cell lines
- Avoid whole genome amplification (if necessary do it in both cases and controls)

(Ziegler and Van Steen, Brazil 2010)

How can technology bias be avoided?

Plating

- Randomize phenotype/s across plates using statistical design
- Stratify by gender
- Run technical duplicates within and across plates to assess variability
- Keep families together
- Do it yourself, do not leave it to the laboratory

Genotyping

- All chips from single manufacturing lot
- Genotype at single site
- Genotype over shortest period of time possible
- Avoid day effects, e.g., by using same technician over time
- Re-genotype bad samples

(Ziegler and Van Steen, Brazil 2010)

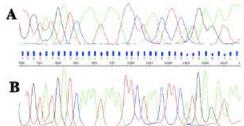
Next generation sequencing will overtake array technology?

- The competing hypothesis to the CDCV hypothesis is the Common Disease/Rare Variant (CDRV) hypothesis.
- It argues that multiple rare DNA sequence variations, each with relatively high penetrance, are the major contributors to genetic susceptibility to common diseases.
- Although some common variants that underlie complex diseases have been identified, and given the recent huge financial and scientific investment in GWA studies, there is no longer a great deal of evidence in support of the CDCV hypothesis and much of it is equivocal...
- Hence, nowadays, both CDCV and CDRV hypotheses have their place in current research efforts.

and ease-of-use.

Next generation sequencing will overtake array technology?





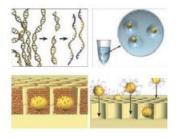
Sanger Sequencing **ABI 3730** Electrophoresis 1000 base reads

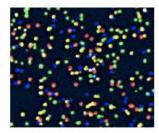












Roche GS-FLX, LifeTechnologies SOLID5500, Illumina HiSeq2500 Clonal amplification

400 base, 75 base, 100 base reads

1st Generation 1977 -

2nd Generation 2005 -

Next generation sequencing will overtake array technology?

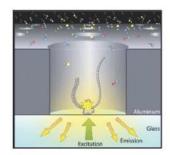














IonTorrent, Proton
Pacific Biosciences, RS
Enzyme/Readout
400 base, 1000 base reads, and strobing

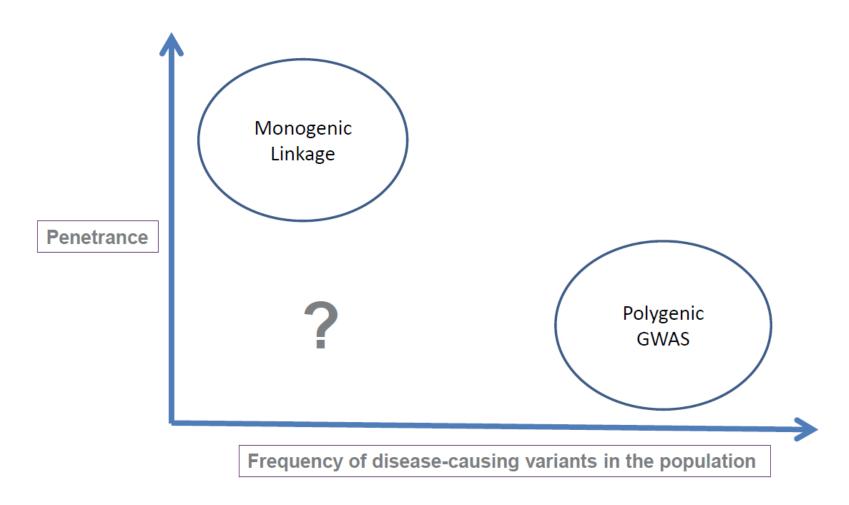
Oxford Nanopore Technologies, GridION
Direct Readout

Very long ~10s kbase reads

2.5th Generation 2011 -

3rd Generation 2013 -

Crucial question: How to best capture disease predisposition?



(Gut 2012)

	Details	Advantages	Disadvantages	Statistical analysis method
Cross-sectional	Genotype and phenotype (ie, note disease status or quantitative trait value) a random sample from population	Inexpensive. Provides estimate of disease prevalence	Few affected individuals if disease rare	Logistic regression, χ² tests of association or linear regression
Cohort	Genotype subsection of population and follow disease incidence for specified time period	Provides estimate of disease incidence	Expensive to follow-up. Issues with drop-out	Survival analysis methods
Case-control	Genotype specified number of affected (case) and unaffected (control) individuals. Cases usually obtained from family practitioners or disease registries, controls obtained from random population sample or conveniencesample	No need for follow-up. Provides estimates of exposure effects	Requires careful selection of controls Potential for confounding (eg. population stratification)	Logistic regression, χ^2 tests of association
Extreme values	Genotype individuals with extreme (high or low) values of a quantitative trait, as established from initial cross-sectional or cohort sample	Genotype only most informative individuals hence save on genotyping costs	No estimate of true genetic effect sizes	Linear regression, non-parametric, or permutation approaches
Case-parent triads	Genotype affected individuals plus their parents (affected individuals determined from initial cross-sectional, cohort, or disease-outcome based sample)	Robust to population stratification. Can estimate maternal and imprinting effects	Less powerful than case- control design	Transmission/disequilibrium test, conditional logistic regression or log-linear models
Case-parent- grandparent septets	Genotype affected individuals plus their parents and grandparents	Robust to population stratification. Can estimate maternal and imprinting effects	Grandparents rarely available	Log-linear models
General pedigrees	Genotype random sample or disease-outcome based sample of families from general population. Phenotype for disease trait or quantitative trait	Higher power with large families. Sample may already exist from linkage studies	Expensive to genotype. Many missing individuals	Pedigree disequilibrium test, family-based association test, quantitative transmission/disequilibrium test
Case-only	Genotype only affected individuals, obtained from initial cross-sectional, cohort, or disease- outcome based sample	Most powerful design for detection of interaction effects	Can only estimate interaction effects. Very sensitive to population stratification	Logistic regression, χ^2 tests of association
DNA-pooling	Applies to variety of above designs, but genotyping is of pools of anywhere between two and 100 individuals, rather than on an individual basis	Potentially inexpensive compared with individual genotyping (but technology still under development)	Hard to estimate different experimental sources of variance	Estimation of components of variance

(Cordell and Clayton 2005)

Cohort studies

- Assumption I: Participants under study representative for population of interest
- Assumption II: Phenotypes ascertained similarly in subjects with and without the relevant genetic variants
- Advantage I: Incident cases, free of survival bias
- Advantage II: If prevalent cases available, too, comparison of incident and prevalent cases possible
- Advantage III: Availability of intermediate phenotypes (quantitative traits)
 with distribution as in population
- Advantage IV: Direct measure of risk
- Advantage V: Fewer bias than case-control studies
- Disadvantage I: Long follow-up required

- Cohort studies (continued)
 - Disadvantage II: Large sample size required
 - Disadvantage III: Expensive
 - Disadvantage IV: Poorly suited for studying rare diseases
 - Disadvantage VII: Unbalanced distribution of cases and controls
 - Disadvantage V: Consent for GWA genotyping often required
 - Disadvantage VI: Consent for data sharing often required
 - Disadvantage VIII: DNA quality

- Family-based association studies
 - Assumption I: Families representative for population of interest
 - Assumption II: Same genetic background in both parents
 - Advantage I: Controls immune to population stratification, i.e., no spurious associations, i.e., no association without linkage
 - Advantage II: Checks for Mendelian inheritance possible, i.e., fewer genotyping errors
 - Advantage III: Parental phenotyping not required
 - Advantage IV: Simple logistics for diseases in children
 - Advantage V: Allows investigation of imprinting
 - Disadvantage I: Cost inefficient
 - Disadvantage II: Lower power when compared with case-control studies
 - Disadvantage III: Sensitive to genotyping errors

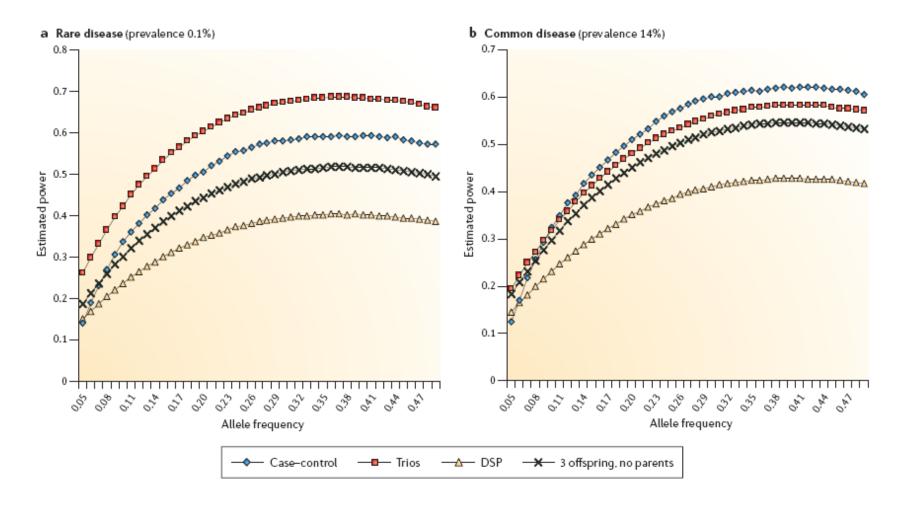
Case-control studies

- Assumption I: Cases and controls drawn from same population
- Assumption II: Cases representative for all cases in population
- Assumption III: All data collected similarly in cases and controls
- Advantage I: Simple
- Advantage II: Cheap
- Advantage III: Large number of cases and controls available
- Advantage IV: Optimal for studying rare diseases
- Disadvantage I: Prone to population stratification
- Disadvantage II: Prone to batch effects
- Disadvantage III: Prone to other biases
- Disadvantage IV: Cases usually prevalent ↓ fatal, short episodes, mild cases ...
- o Disadvantage V: Overestimation of risk for common disease

Aim	Selection scheme
Increased effect size	Extreme sampling: Severely affected cases vs. extremely
	normal controls
Genes causing early	Affected, early onset vs. normal, elderly
onset	
Genes with large /	Cases with positive family history vs. controls with
moderate effect size	negative family history
Specific GxE interaction	Affected vs. normal subjects with heavy environmental
	exposure
Longevity genes	Elderly survivors serve as cases vs. young serve as controls
Control for covariates	Affected with favorable covariates vs. normal with
with strong effect	unfavorable covariate

Morton & Collins 1998 Proc Natl Acad Sci USA 95:11389

Rare versus common diseases (Lange and Laird 2006)



3 Preliminary analyses

Is there a standard file format for GWA studies?

Standard data format: tped = transposed ped format file

FamID	PID	FID	MID	SEX	AFF	SNP1 ₁	SNP1 ₂	SNP2 ₁	SNP2 ₂
1	1	0	0	1	1	Α	Α	G	Т
2	1	0	0	1	1	Α	С	Т	G
3	1	0	0	1	1	С	С	G	G
4	1	0	0	1	2	Α	С	T	Т
5	1	0	0	1	2	С	С	G	Т
6	1	0	0	1	2	С	С	T	T

ped file

Chr	SNP name	Genetic distance	Chromosomal position
1	SNP1	0	123456
1	SNP2	0	123654

map file

Is there a standard file format for GWA studies?

Chr	SNP	Gen. dist.	Pos	PII	1	PII	2	PII	3	PII) 4	PII	5 (PII	06
1	SNP1	0	123456	Α	Α	Α	С	С	С	Α	С	С	С	С	С
1	SNP2	0	123654	G	Т	G	Т	G	G	Т	Т	G	Т	Т	Т

tfam file: First 6 columns of standard ped file

tped file

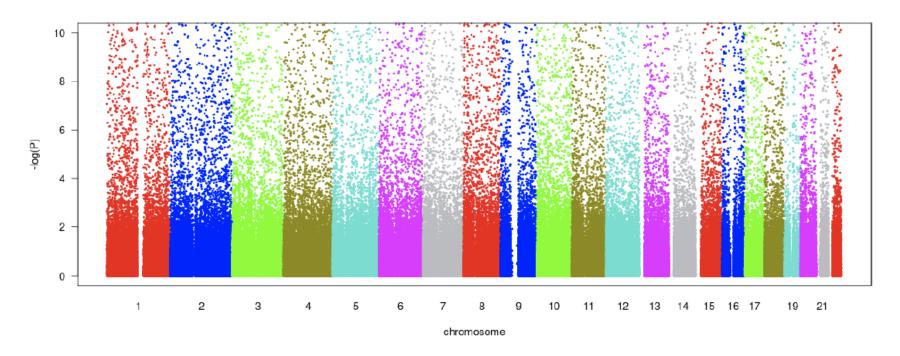
FamID	PID	FID	MID	SEX	AFF
1	1	0	0	1	1
2	1	0	0	1	1
3	1	0	0	1	1
4	1	0	0	1	2
5	1	0	0	1	2
6	1	0	0	1	2

tfam file

3.a Quality control

Why is quality control important?

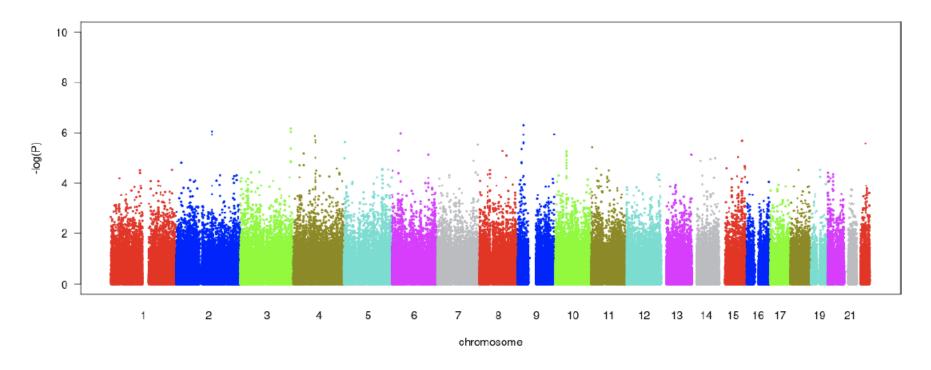
BEFORE (false positives !!!!):



Ger MI FS I, Affymetrix 500k array set, SNPs on chip: 493,840

Why is quality control important?

AFTER:



Ger MI FS I, Affymetrix 500k array set, SNPs on chip: 493,840

SNPs passing standard quality control: 270,701

What is the standard quality control?

- Quality control on different levels:
 - Subject or sample level
 - SNP level
 - X-chromosomal SNP level

What are standard filters on the sample level?

- Call fraction as high as possible
- Cryptic relatedness: if identity by state (IBS) too high, subjects closely related
- Ethnic origin (principal component, multidimensional scaling, nonmetric multidimensional scaling): homogeneous study populations required
- No excess or deficiency of heterozygosity (contamination of DNA, hybridization failure)

What are standard filters on the SNP level?

- Minor allele frequency (MAF)
 - Genotype calling algorithms perform poorly for SNPs with low MAF
 - Power low for detecting associations to SNPs with low MAF,
- Missing frequency (MiF)
 - Also termed 1 minus SNP call rate
 - o Indicator for cluster separation
 - Investigate MiF separately in cases and in controls because of differential missingness
- Hardy-Weinberg equilibrium (HWE)
 - SNPs excluded if substantially more or fewer subjects heterozygous at a SNP than expected (excess heterozygosity or heterozygote deficiency)

What is Hardy-Weinberg Equilibrium (HWE)?

Consider diallelic SNP with alleles A₁ and A₂

Genotype frequencies

$$P(A_1A_1) = p_{11}$$
 , $P(A_1A_2) = p_{12}$, $P(A_2A_2) = p_{22}$

ullet Allele frequencies $P(A_1)=p=p_{11}+rac{1}{2}p_{12}$, $P(A_2)={m q}=p_{22}+rac{1}{2}p_{12}$

If

- $\bullet P(A_1A_1) = p_{11} = p^2$
- $\bullet P(A_1A_2) = p_{12} = 2pq$
- $\bullet P(A_2A_2) = p_{22} = q^2$

the population is said to be in HWE at the SNP

What are the assumptions of HWE?

- Random mating
- No selection or migration
- No mutation
- No population stratification
- Infinite population size

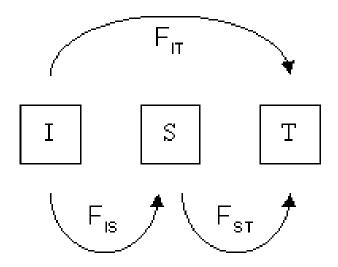
What are signs of deviations from HWE?

Decreased or increased HET

Decrease in HET caused by	Increase in HET caused by			
Selection against heterozygotes	Selection favoring heterozygotes			
Inbreeding	Outbreeding			
Positive assortative mating	Negative assortative mating			
Null allele	Copy number variation			
Wahlund effect	Amplification artifact of new alleles			
Allele dropout in old samples	Misclassification of alleles at different loci			
	in multigene families			

Ziegler & König 2010 ISBN-13 978-3-527-32389-0 Hedrick 2009 9780763757373

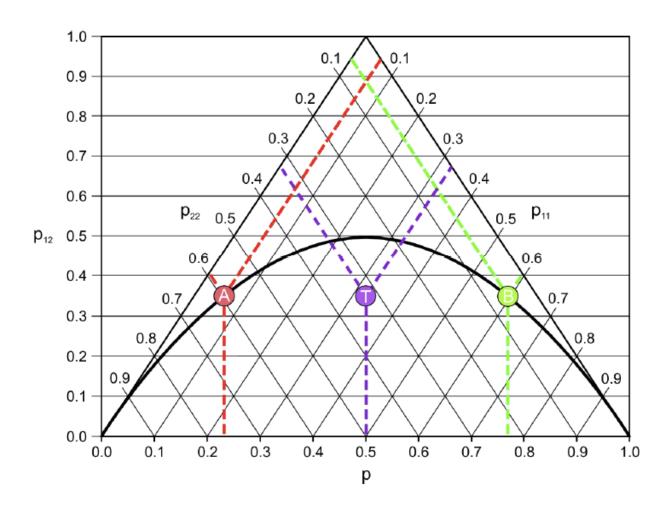
What are signs of deviations from HWE?



 \mathbf{F}_{IT} is the inbreeding coefficient of an individual (I) relative to the total (T) population, as above; \mathbf{F}_{IS} is the inbreeding coefficient of an individual (I) relative to the subpopulation (S), using the above for subpopulations and averaging them; and \mathbf{F}_{ST} is the effect of subpopulations (S) compared to the total population (T)

What are signs of deviations from HWE?

Increased HOM (e.g., in case of population stratification; Wahlund effect)



- ullet Disequilibrium coefficient: $\mathcal{D}_{A_1}=p_{11}-p^2=p_{22}-q^2=-p_{12}+2pq$
- Inbreeding coefficient:
 - \circ Assume $P(A_1)=p$, probability of 2^{nd} allele to be identical f
 - \circ Prob. of two A_1 alleles equal to $p \cdot f$
 - \circ Prob. for two independent A_1 alleles p^2
 - o Ergo: $P(A_1A_1) = p^2(1-f) + pf = p^2 + fpq$ $P(A_1A_2) = 2pq - 2fpq = 2pq(1-f)$
- ullet Excess heterozygosity: $\gamma=p_{12}/\left(2\sqrt{p_{11}p_{22}}
 ight)$
- \bullet Standard procedure in GWA studies: χ^2 lack of fit test

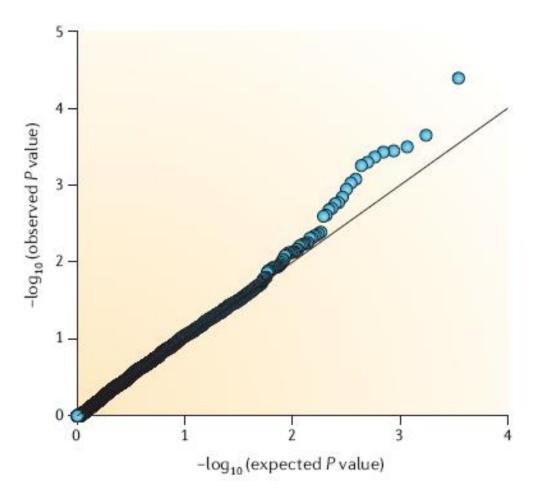
- The Pearson test is easy to compute, but the $\chi 2$ approximation can be poor when there are low genotype counts, in which case it is better to use a Fisher exact test, which does not rely on the $\chi 2$ approximation.
- Discard loci that, for example, deviate from HWE <u>among controls</u> at significance level $\alpha = 10^{-3}$ or 10^{-4} . But be flexible !
- The open-source data-analysis software R includes the "SNPassoc" package that implements an exact SNP test of Hardy-Weinberg Equilibrium (http://www.sph.umich.edu/csg/abecasis/Exact/snp hwe.r)

$$\chi^2 = \sum \frac{(O-E)^2}{E}$$

Expectations computed under the null of HWE

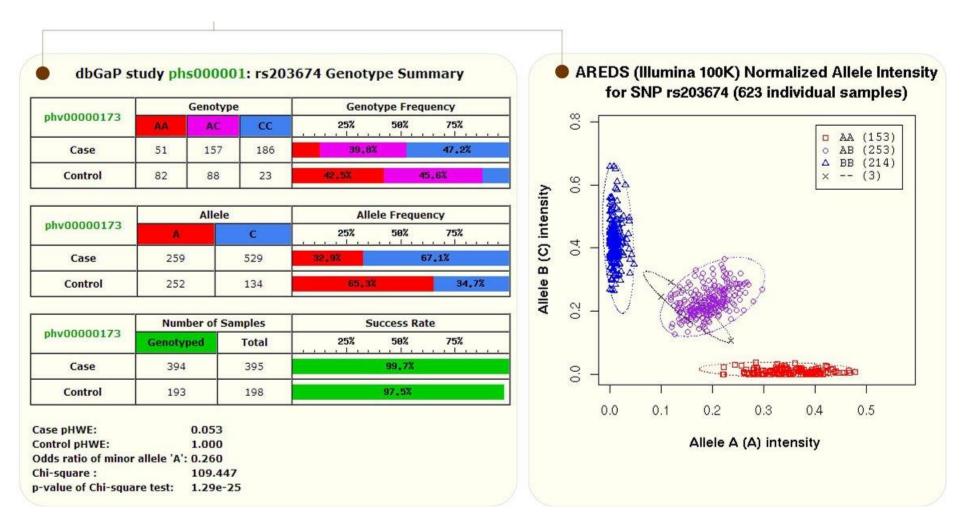
Nr of degrees of freedom is 1 (p+q=1)

- A useful tool for interpreting the results of HWE and other tests on many SNPs is the log quantile—quantile (QQ) p-value plot:
 - the negative logarithm of the *i*-th smallest *p*-value is plotted against $-\log(i/(L+1))$, where *L* is the number of SNPs.
- The 0.3 (or 30%) quantile is the point at which 30% percent of the data fall below and 70% fall above that value.
- A 45-degree reference line is also plotted as visualization tool:
 - If the two sets come from a population with the same distribution, the points should fall approximately along this reference line.
 - The greater the departure from this reference line, the greater the evidence for the conclusion that the two data sets have come from populations with different distributions.



(Balding 2006)

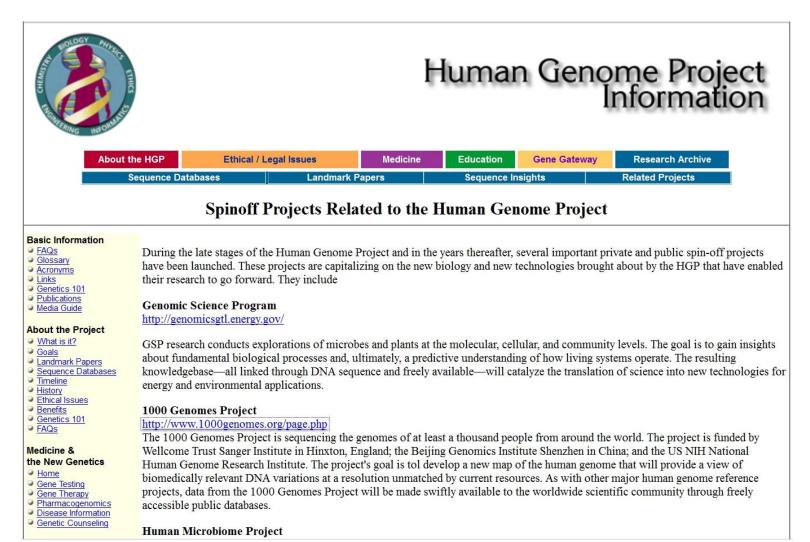
Why is cluster plot reading important?



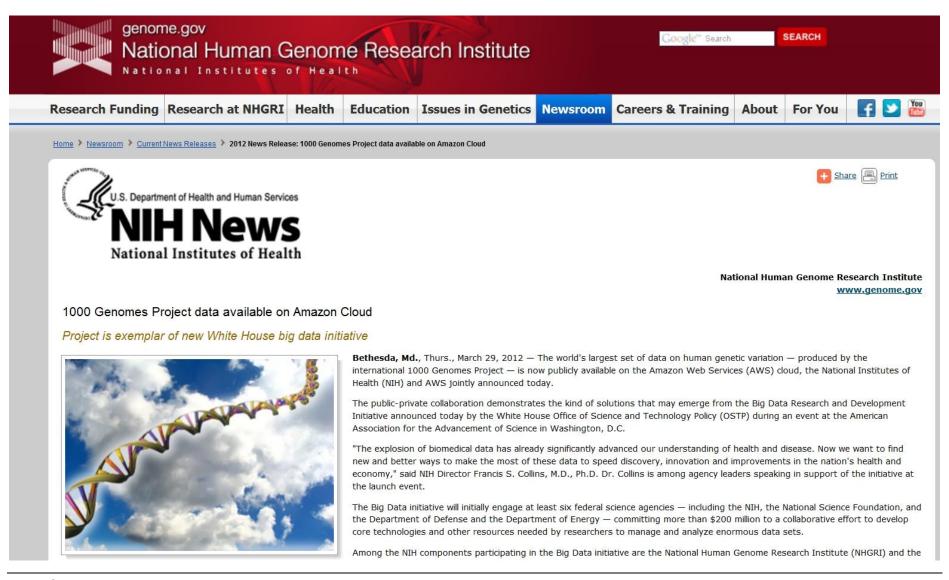
What are standard filters on the gender level?

- Absolute difference in call fractions for males and females
- Proportion of heterozygotes in males and females in all samples
- Missing data by gender
- Test of allelic association by gender among controls

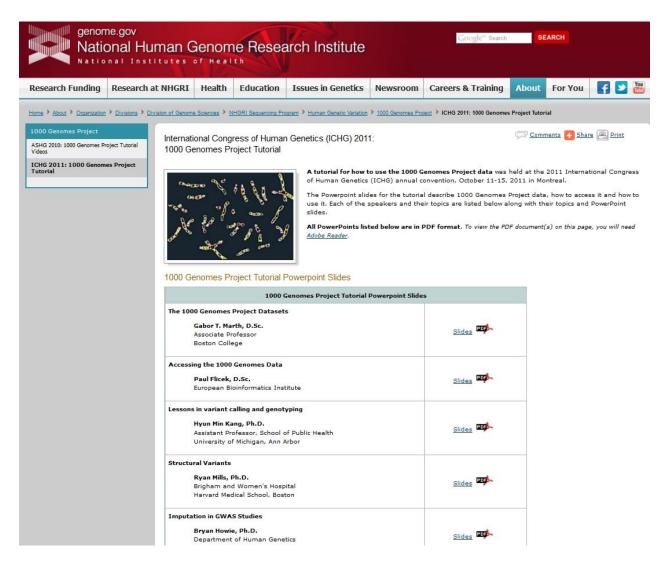
Is there a power advantage in imputing?



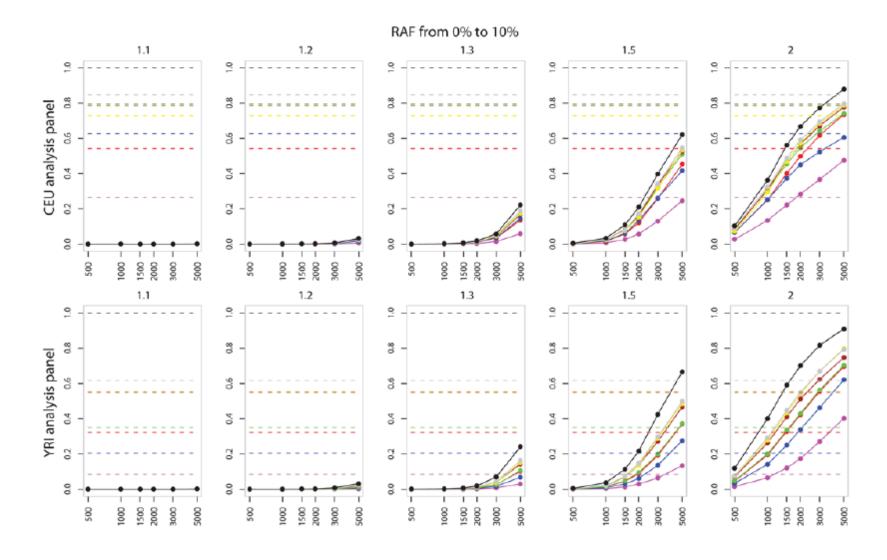
Is there a power advantage in imputing?



Is there a power advantage in imputing?



Is there a power advantage in imputing? (Spencer et al 2009)



What are the Travemunde criteria?

Level	Filter criterion	Standard value for filter				
Sample level	Call fraction	≥ 97%				
	Cryptic relatedness	Study specific				
	Ethnic origin	Study specific; visual inspection of				
		principal components				
	Heterozygosity	Mean ± 3 std.dev. over all samples				
	Heterozygosity by gender	Mean ± 3 std.dev. within gender group				
SNP level	MAF	≥ 1%				
	MiF	≤ 2% in any study group, e.g., in both				
		cases and controls				
	MiF by gender	≤ 2% in any gender				
	HWE	$p < 10^{-4}$				

(Ziegler 2009)

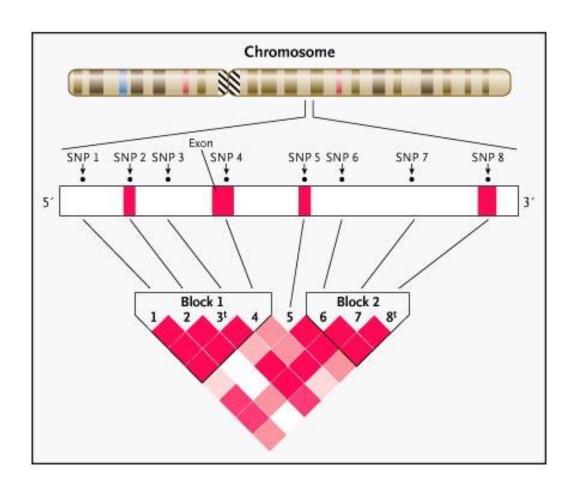
What are the Travemunde criteria?

Level	Filter criterion	Standard value for filter
SNP level	Difference between control groups	p > 10 ⁻⁴ in trend test
	Gender differences among controls	p > 10 ⁻⁴ in trend test
X-Chr SNPs	Missingness by gender	No standards available
	Proportion of male heterozygote calls	No standards available
	Absolute difference in call fractions for	No standards available
	males and females	
	Gender-specific heterozygosity	No standard value available

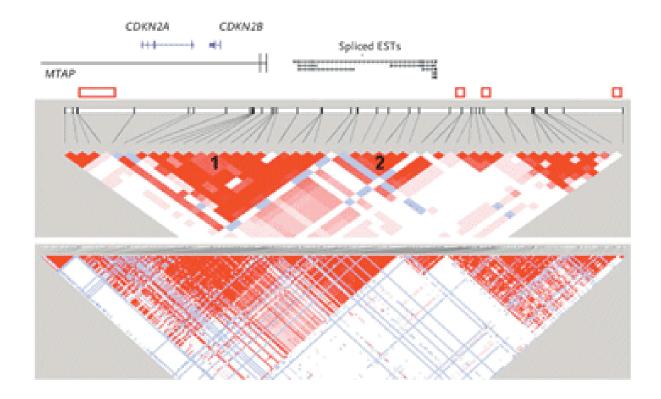
(Ziegler 2009)

3.b Linkage disequilibrium, haplotypes and SNP tagging

Mapping the relationships among SNPs (Christensen and Murray 2007)



Relationships among SNPs induce multiple signals



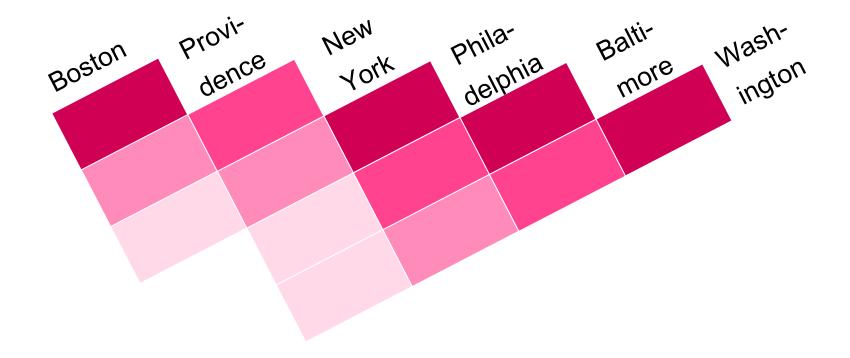
(Samani et al 2007))

• These plots can be generated using the free software "Haploview", but also in R!

Distances among cities

	Boston	Provi- dence	New York	Phila- delphia	Balti- more
Providence	59				
New York	210	152			
Philadelphia	320	237	86		
Baltimore	430	325	173	87	
Washington	450	358	206	120	34

Distances among cities



Distances among SNPs

- If a causal polymorphism is not genotyped, we can still hope to detect its effects through **Linkage Disequilibrium** (LD) with polymorphisms that are typed (key principle behind doing genetic association analysis ...).
- LD is a measure of co-segregation of alleles in a population: Two alleles at different loci that occur together on the same chromosome (or gamete) more often than would be predicted by random chance. In general, LD is taken to be a measure of allelic association.
- Among the measures that have been proposed for two-locus haplotype data, the two most important are D' (Lewontin's D prime) and r^2 (the square correlation coefficient between the two loci under study).
- Sample size must be increased by a factor of $1/r^2$ to detect an unmeasured variant, compared with the sample size for testing the variant itself.

 (Jorgenson and Witte 2006)

Distances among SNPs

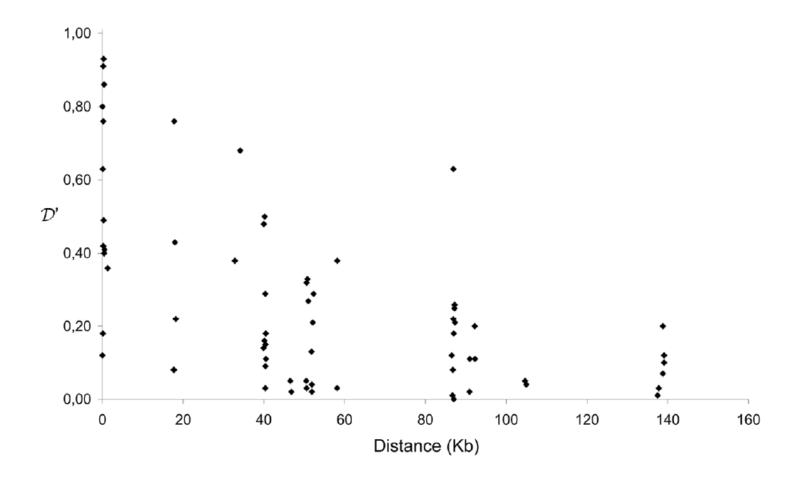
• The measure D is defined as the difference between the observed and expected (under the null hypothesis of independence) proportion of haplotypes bearing specific alleles at two loci: p_{AB} - p_A p_B

	Α	а
В	p_{AB}	p_{aB}
b	p_{Ab}	p_{ab}

- D' is the absolute ratio of D compared with its maximum value.
- D' =1 : complete LD
- R² is the statistical correlation of two markers :
 - When R²=1, knowing the genotypes of alleles of one SNP is directly predictive of genotype of another SNP

$$R^2 = \frac{D^2}{P(A)P(a)P(B)P(b)}$$

How far does linkage disequilibrium extend?



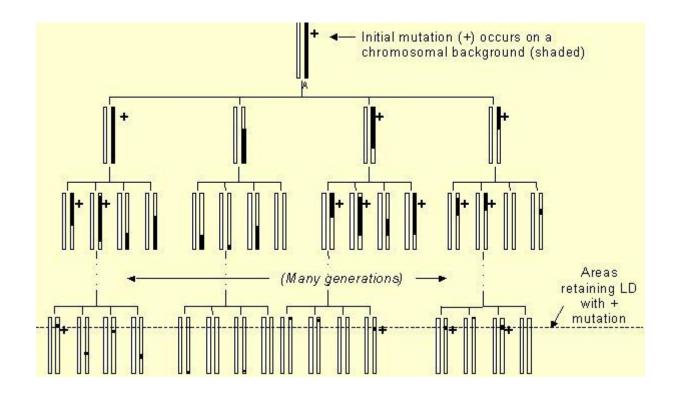
(Hecker et al 2003)

How to interpret LD data?

- The patterns of LD observed in natural populations are the result of a complex interplay between genetic factors and the population's demographic history (Pritchard, 2001).
- LD is usually a function of distance between the two loci. This is mainly because recombination acts to break down LD in successive generations (Hill, 1966).
- When a mutation first occurs it is in complete LD with the nearest marker (D' = 1.0). Given enough time and as a function of the distance between the mutation and the marker, LD tends to decay and in complete equilibrium reached D' = 0 value. Thus, it decreases at every generation of random mating unless some process is opposing to the approach to linkage 'equilibrium'.

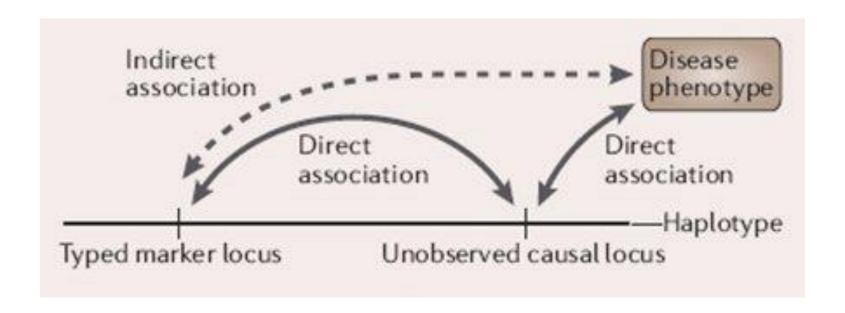
How to interpret LD data?

• Therefore, the key concept in a (population-based) genetic association study is linkage disequilibrium.



How to interpret LD data?

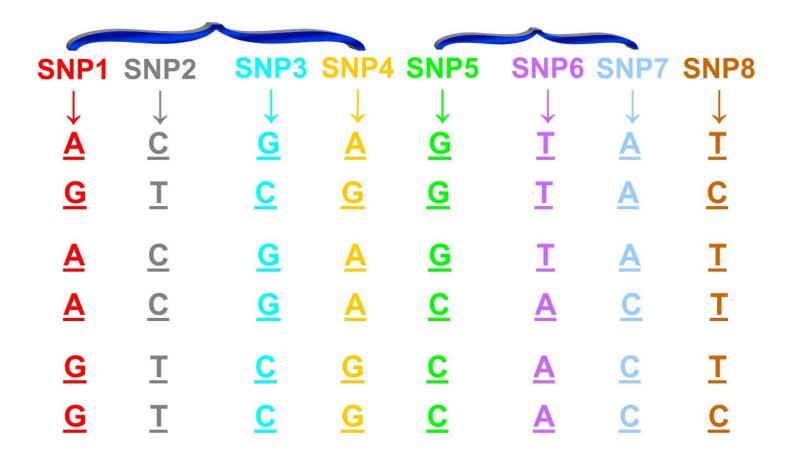
• It gives the rational for performing genetic association studies



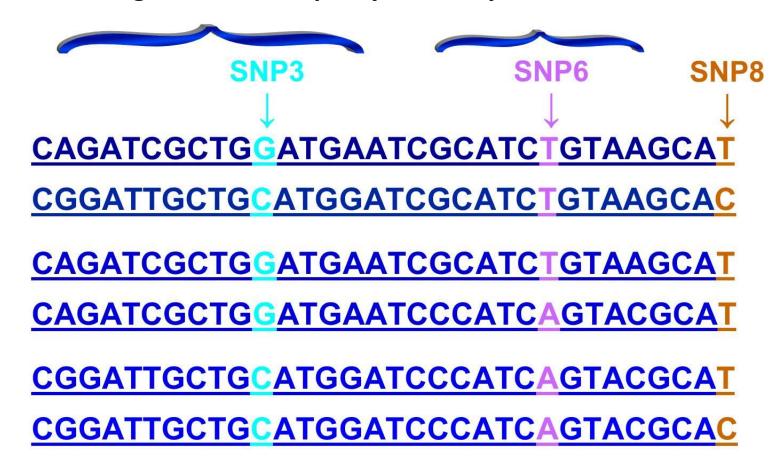
Phenotype: The visible or measurable (expressed) characteristic of an organism

Trait: Coded phenotype

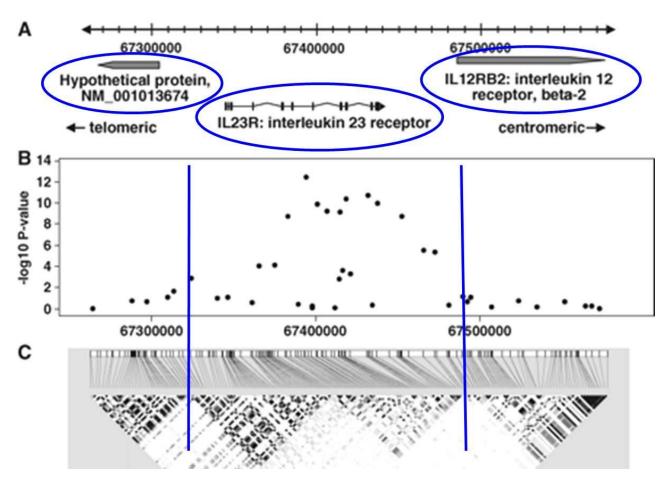
How can one tag SNP serve as proxy for many? (adapted from Manolio 2010)



How can one tag SNP serve as proxy for many? (adapted from Manolio 2010)



Where is the true causal variant?

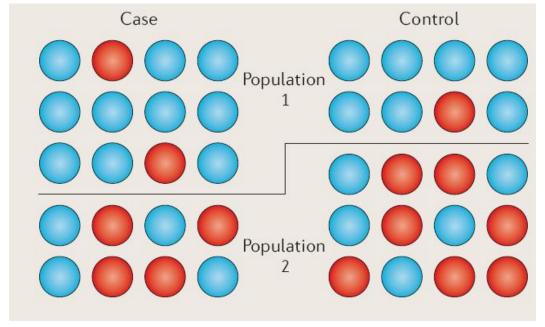


(Duerr et al 2006)

3.c Confounding

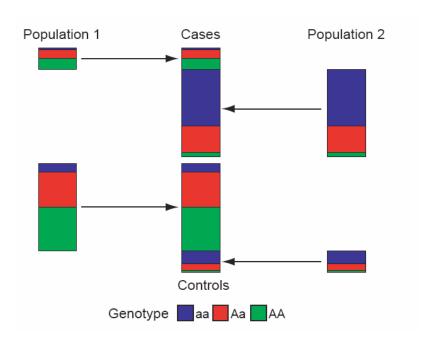
What is spurious association?

• Spurious association refers to false positive association results due to not having accounted for population substructure as a confounding factor in the analysis



What is spurious association?

- Typically, there are two characteristics present:
 - A difference in proportion of individual from two (or more) subpopulation in case and controls
 - Subpopulations have different allele frequencies at the locus.



What are typical methods to deal with population stratification?

- Methods to deal with spurious associations generated by population structure generally require a number (at least >100) of widely spaced null SNPs that have been genotyped in cases and controls in addition to the candidate SNPs.
- These methods large group into:
 - Genomic control methods
 - Structured association methdos
 - Principal component-based methods

What is genomic control?

- In Genomic Control (GC), a 1-df association test statistic is computed at each of the null SNPs, and a parameter λ is calculated as the empirical median divided by its expectation under the chi-squared 1-df distribution.
- Then the association test is applied at the candidate SNPs, and if $\lambda > 1$ the test statistics are divided by λ .
 - Under H₀ of no association p-values uniformly distributed
 - In case of population stratification: inflation of test statistics

$$\circ \hat{\lambda} = \frac{\text{median}(\chi_1^2, \chi_2^2, \dots, \chi_L^2)}{\text{median}(\mathcal{L}(\chi_1^2))} = \frac{\text{median}(\chi_1^2, \chi_2^2, \dots, \chi_L^2)}{0.456}$$

$$\circ \chi_{GC}^2 = \chi^2 / \hat{\lambda}$$

What is genomic control?

- The motivation for GC is that, as we expect few if any of the null SNPs to be associated with the phenotype, a value of $\lambda > 1$ is likely to be due to the effect of population stratification, and dividing by λ cancels this effect for the candidate SNPs.
- GC performs well under many scenarios, but can be conservative in extreme settings (and anti-conservative if insufficient null SNPs are used).
- There is an analogous procedure for a general (2 df) test; The method can also be applied to other testing approaches.

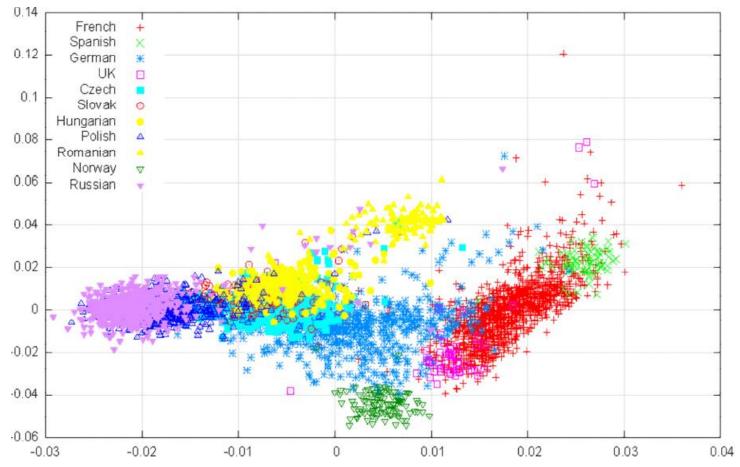
What is a structured association method?

- Structured association (SA) approaches are based on the idea of attributing the genomes of study individuals to hypothetical subpopulations, and testing for association that is conditional on this subpopulation allocation.
- Several clustering algorithms exist to estimate the number of subpopulations.
- These approaches (such as Bayesian clustering approaches) are computationally demanding, and because the notion of subpopulation is a theoretical construct that only imperfectly reflects reality, the question of the correct number of subpopulations can never be fully resolved....

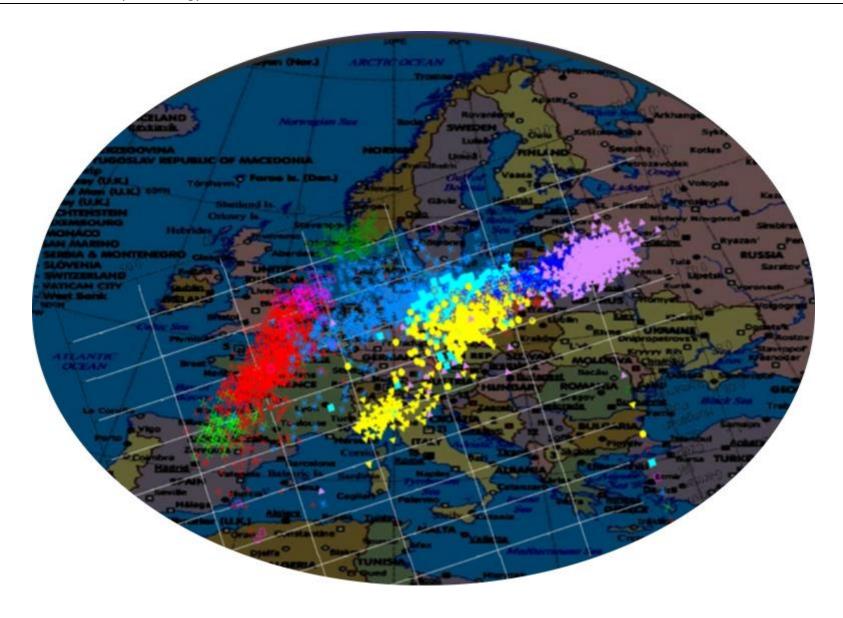
What is principal components analysis?

- When many null markers are available, principal components analysis provides a fast and effective way to diagnose population structure.
- Principal components are linear combinations of the original "variables" (here SNPs) that optimized in such a way that as much of the variation in the data is retained.

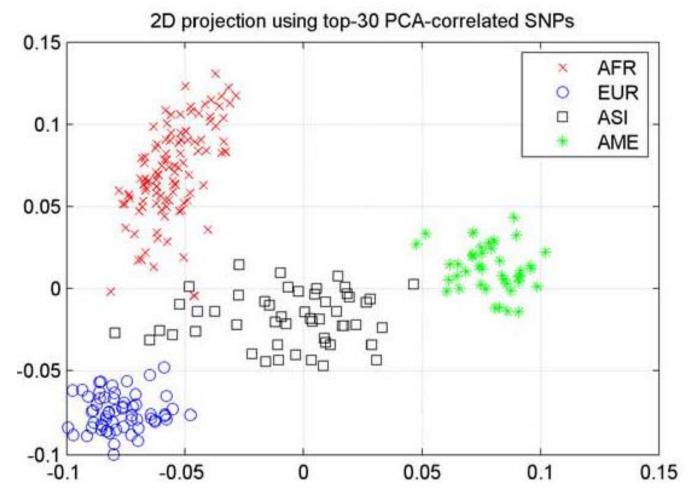
• In European data, the first 2 principal components "nicely" reflect the N-S and E-W axes!



Y-axis: PC2 (6% of variance); X-axis: PC1 (26% of variance)



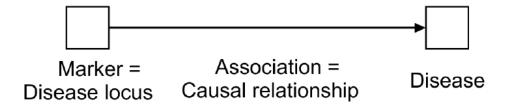
• Does the same hold on a "global" (world) level?

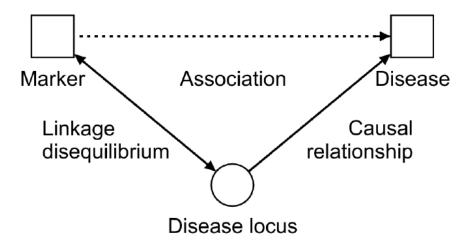


(Paschau 2007)

4 Tests of association

What is the causal model underlying genetic association?





(Ziegler and Van Steen 2010)

4.a Single SNP

What are common association tests (dichotomous traits)?

Observed genotype frequencies and theoretical probabilities

	aa		aA		AA		To	tal
Cases	r_0	(p _{0,a})	r_1	(p _{1,a})	r ₂	(p _{2,a})	r	(p _a)
Controls	s_0	(p _{0,u})	s_1	$(p_{1,u})$	s_2	$(p_{2,u})$	S	(p_u)
Total	n_0		n ₁		n ₂		n	(1)

Observed allele frequencies and theoretical probabilities

	a	Α		Total
Cases	$2r_0 + r_1$	$2r_2 + r_1$	(p _{A,a})	2r
Controls	$2s_0 + s_1$	$2s_2 + s_1$	(p _{A,u})	2s
Total	2n ₀ + n ₁	2n ₂ + n ₁		2n

(Ziegler and Van Steen 2010)

Standard allele test:

- χ^2 test of independence
- Equivalent to

$$\chi_A^2 = 2n \cdot \frac{\left[(2r_0 + r_1)(2s_2 + s_1) - (2r_2 + r_1)(2s_0 + s_1) \right]^2}{2r \cdot 2s \cdot (2n_0 + n_1) \cdot (2n_2 + n_1)}$$

• Asymptotically χ^2 with 1 degree of freedom (d.f.)

Standard genotype test:

- χ^2 test of independence
- Asymptotically χ^2 with 2 d.f.

(Ziegler and Van Steen 2010)

	Genetic model			
Genotype	General	Recessive	Dominant	
NN	f_0	0	0	
ND	f_1	0	1	
DD	f_2	1	1	

Penetrances for simple Mendelian inheritance patterns

• Trait T: coded phenotype

• **Penetrance:** P(T | Genotype)

• Complete penetrance: P(T|DD) = 1 (simplified definition)

	Dominant		Heterozygote		Recessvie	
	aa	aA or AA	aa or AA	aA	aa or aA	AA
Cases	r_0	$r_1 + r_2$	$r_0 + r_2$	r ₁	$r_0 + r_1$	r ₂
Controls	s_0	$s_1 + s_2$	$s_0 + s_2$	s_1	$s_0 + s_1$	s_2
Total	n ₀	n ₂	n ₀ + n ₂	n ₁	n ₀ + n ₁	n ₂

•
$$\chi_{dom}^2 = n \cdot \frac{\left(r_0(s_1 + s_2) - (r_1 + r_2)s_0\right)^2}{r \cdot s \cdot n_0 \cdot (n_1 + n_2)}$$

• $\chi_{het}^2 = n \cdot \frac{\left(r_1(s_0 + s_2) - (r_0 + r_2)s_1\right)^2}{r \cdot s \cdot n_1 \cdot (n_0 + n_2)}$
• $\chi_{rec}^2 = n \cdot \frac{\left((r_0 + r_1)s_2 - r_2(s_0 + s_1)\right)^2}{r \cdot s \cdot (n_0 + n_1) \cdot n_2}$

 The Cochran-Armitage trend test measures a linear trend in proportions weighted by general measure of exposure dosage: variable x in regression model =#alleles

$$\chi_{trend}^2 = \frac{n}{rs} \cdot \frac{\left(2r_2s - 2rs_2 + r_1s - s_1r\right)^2}{2n_2n + (2n_2 + n_1)(n_0 - n_2)}$$

 Max test: computes maximum over standardized tests for different genetic models, providing a global test

Which test should be used in applications?

- Trend test if no biological hypothesis
- Trend test optimal if additive genetic model
- Dom test optimal if dominant genetic model
- Rec test optimal if recessive genetic model
- Trend test identical to allele test if HWE exactly fulfilled
- Asymptotic version of Max test alternative to trend test

Sasieni 1997 Biometrics, Zou 2006 Ann Hum Genet, Guedj et al. 2008 Ann Hum Genet Hothorn & Hothorn 2009 Biom J

How are genetic effects measured?

	G = 1	G = 0	Total
Cases	x_1	У1	n_1
Controls	\mathbf{x}_0	y ₀	n_0

Case control study:

$$ullet$$
 Odds ratio: $\widehat{OR}_G = \frac{x_1 y_0}{y_1 x_0}$

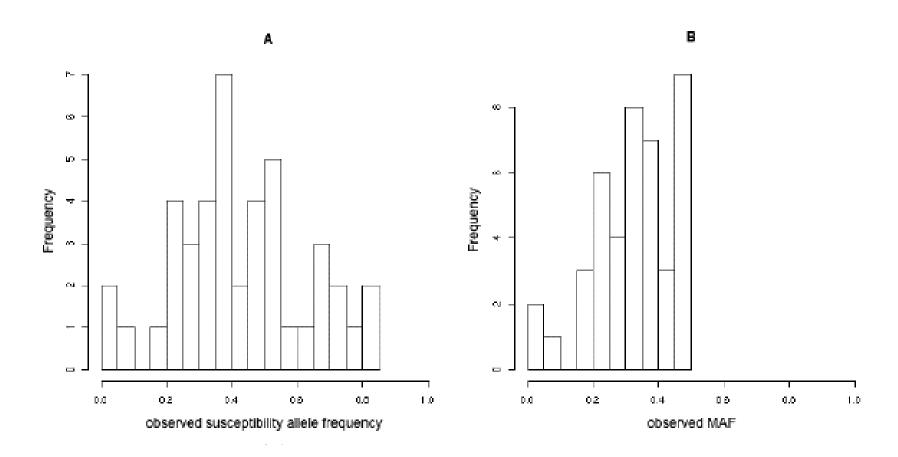
Attributable risk in variant carriers (... in the exposed):

$$AR_G = \frac{P(\text{aff}|G=1) - P(\text{aff}|G=0)}{P(\text{aff}|G=1)} = \frac{p_1 - p_0}{p_1} = \frac{RR - 1}{RR} \approx \frac{RR - 1}{RR}$$

RR being

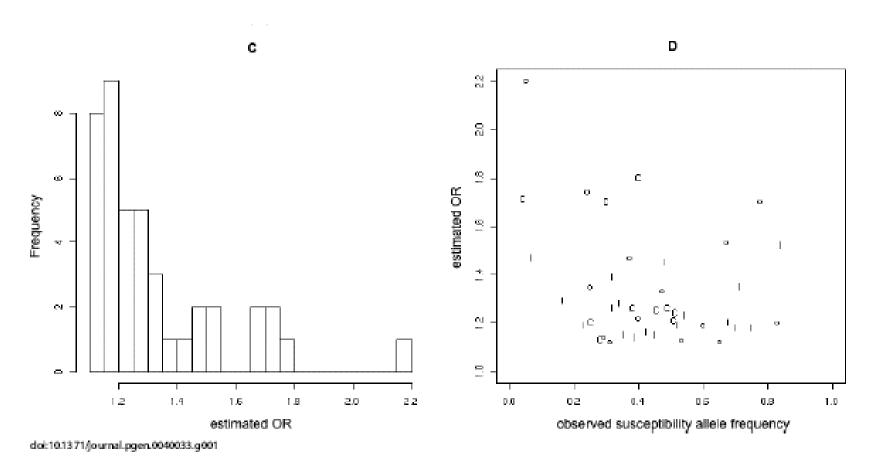
$$\frac{P(\operatorname{aff}|G=1)}{P(\operatorname{aff}|G=0)} = \frac{p_1}{p_0}$$

Which odds ratios (measures of effect) can we expect?



(A and B) Histograms of susceptibility allele frequency and MAF, respectively, at confirmed susceptibility loci. . (Iles 2008)

Which odds ratios (measures of effect) can we expect?



(C) Histogram of estimated ORs (estimate of genetic effect size) at confirmed susceptibility loci. (Iles 2008)

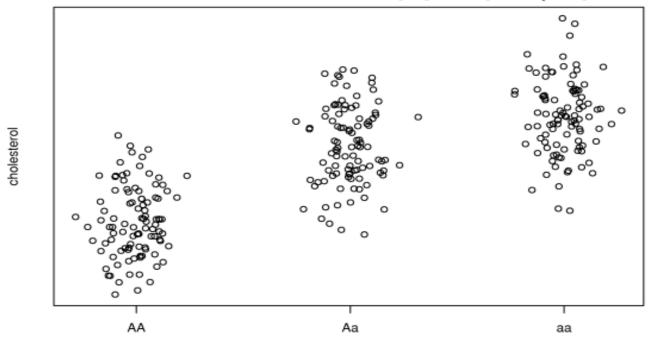
4.b Repeated single SNP tests

The regression framework

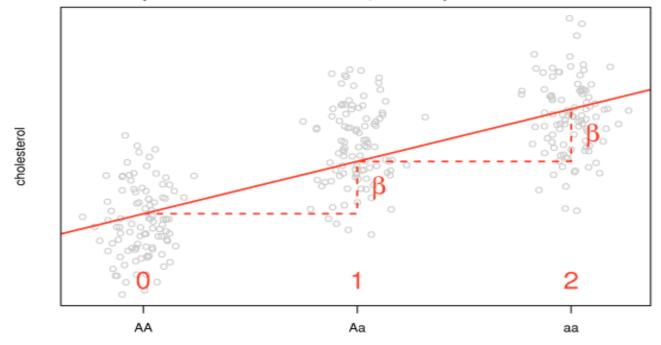
- Regression analysis is used for explaining or modeling the relationship between a single variable Y, called the response, output or dependent variable, and one or more predictor, input, independent or explanatory variables, X₁, ..., X_m.
- When m=1 it is called simple regression but when m > 1 it is called multiple regression or sometimes multivariate regression.
- When there is more than one Y, then it is called multivariate multiple regression
- The basic syntax for doing regression in R is lm(Y~model) to fit linear models and glm() to fit generalized linear models (e.g. logistic regression models in the "dichotomous trait" setting before). Next slide: syntax!

Syntax	Model	Comments
$Y \sim A$	$Y = \beta_0 + \beta_1 A$	Straight-line with an implicit y-
		intercept
$Y \sim -1 + A$	$Y = \beta_1 A$	Straight-line with no y-intercept;
	_	that is, a fit forced through (0,0)
$Y \sim A + I(A^2)$	$Y = \beta_0 + \beta_1 A + \beta_2 A^2$	Polynomial model; note that the
		identity function I() allows terms
		in the model to include normal
		mathematical symbols.
$Y \sim A + B$	$Y = \beta_0 + \beta_1 A + \beta_2 B$	A first-order model in A and B
		without interaction terms.
Y ~ A:B	$Y = \beta_0 + \beta_1 AB$	A model containing only first-order
		interactions between A and B.
$Y \sim A*B$	$Y = \beta_0 + \beta_1 A + \beta_2 B + \beta_3 AB$	A full first-order model with a term;
		an equivalent code is $Y \sim A + B +$
		A:B.
$Y \sim (A + B + C)^2$	$Y = \beta_0 + \beta_1 A + \beta_2 B + \beta_3 C +$	A model including all first-order
	$\beta_4 AB + \beta_5 AC + \beta_6 AC$	effects and interactions up to the nth
		order, where n is given by ()^n.
		An equivalent code in this case is
		$Y \sim A*B*C - A:B:C.$

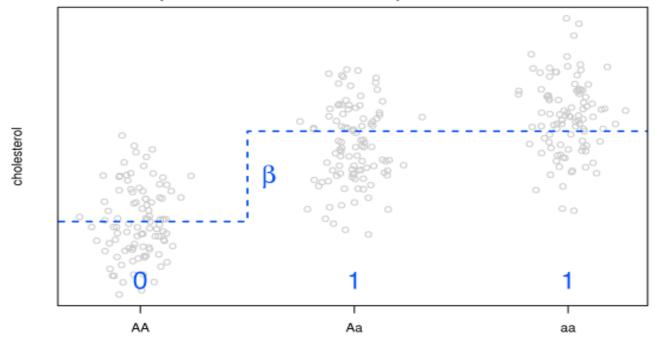
Some data; cholesterol levels plotted by genotype (single SNP)



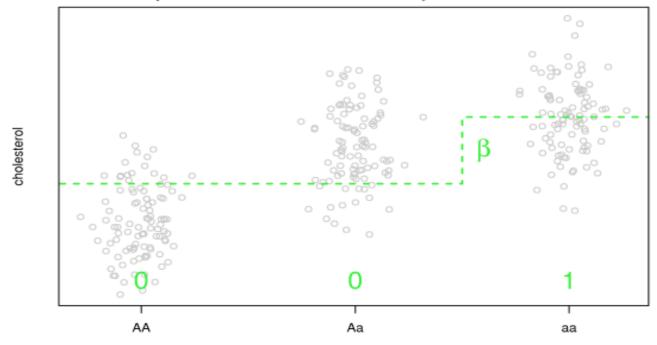
Additive model (the most commonly used)



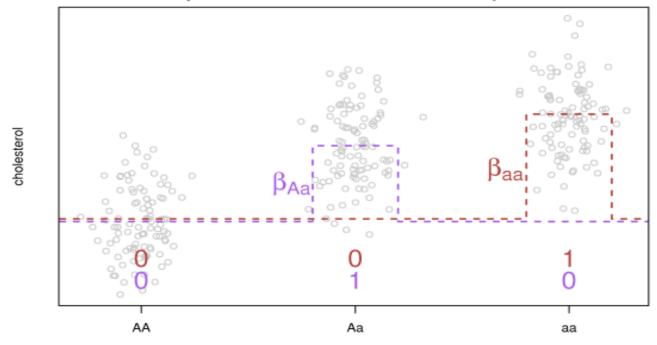
Dominant model (best fit to this data)



Recessive model (least stable for rare aa)



2 parameter model (robust but can be overkill)

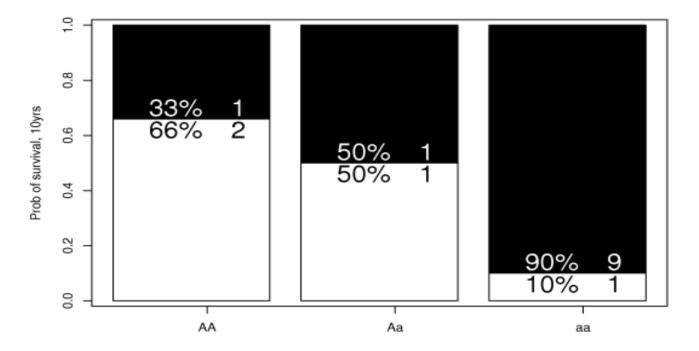


Logistic regression is the 'default' analysis for binary outcomes

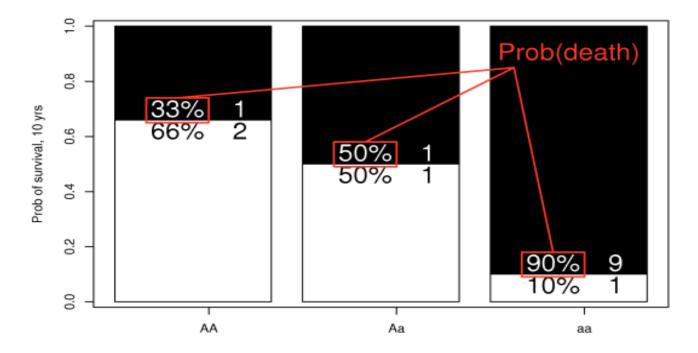
Outcome	Type Regression		Scale
Cholesterol			
Blood Pressure	Continuous	Linear	Difference in Outcome
BMI			
Death			
Stroke	Binary	Logistic	Ratio of odds
BMI>30			

K Van Steen

Odds are a [gambling-friendly] measure of chance;



Odds are a [gambling-friendly] measure of chance;



K Van Steen

Can screening for 1000nds of SNPs be performed automatically in R?

- **GenAbel** is designed for the efficient storage and handling of GWAS data with fast analysis tools for quality control, association with **binary and quantitative traits**, as well as tools for visualizing results.
- pbatR provides a GUI to the powerful PBAT software which performs family and population based family and population based studies. The software has been implemented to take advantage of parallel processing, which vastly reduces the computational time required for GWAS.
- *SNPassoc* provides another package for carrying out GWAS analysis. It offers descriptive statistics of the data (including patterns of missing data!) and tests for Hardy-Weinberg equilibrium. Single-point analyses with binary or quantitative traits are implemented via generalized linear models, and multiple SNPs can be analyzed for haplotypic associations or epistasis.

Is there one tool that fits it all? NO

An Alphabetic List of Genetic Analysis Software PAGE 1 (A-F)

master: http://www.nkii-genetics.org/soft/ mirror: http://linkage.rockefeller.edu/soft/ searchable database: http://www.animalgenome.org/soft/ (NEW!)



Last Update: March 16, 2012

Computer software on the following topics are included here: genetic linkage analysis for human pedigree data, QTL analysis for animal-plant breeding data, genetic association analysis, haplotype construction, pedigree drawing, and population genetics. This list is offered here as a service to the gene mapping community. The inclusion of a program should not be interpreted as an endorsement to that program from us.

In the last few years, new technology produces new types of genetic data, and the scope of genetic analyses change dramatically. It is no longer obvious whether a program should be included or excluded from this list. Topics such as next-generation-sequencing (NGS), gene expression, genomics annotation, etc. can all be relevant to a genetic study, yet be specialized topics by themselves. Though programs on variance calling from NSG can be in, those can sequence alignment might be out; programs on eQTL can be in, those on differential expression might be out.

This page was created by Dr. Wentian Li, when he was at Columbia University (1995-1996). It was later moved to Rockefeller University (1996-2002), and now takes its new home at North Shore LIJ Research Institute (2002-now). More than 240 programs have been listed by December 2004, more than 350 programs by August 2010. A version of the searchable database was developed by Zhilliang Hu of Iowa State University, and a recent round of updating was assisted by Wei JIANG of Harbin Medical School.

Some earlier software can be downloaded from EBI: fig-ebi.ac.uk/pub/software/linkage and Mapping Software Repository), and http://genamics.com/software/lindex.htm may contain archived copy of some programs.

More and more packages are now written in R. To be consistent, I rename any R package in CRAN from [package-name] to R/[package-name] to R/[packag

If you have new programs to add or any updated information, please send a message to webadm@nslij-genetics.org

what's new | link to other sources | obsolete programs

page 1 (A-F) | page 2 (G-L) | page 3 (M-P) | page 4 (Q-Z)

a b c d e f | g h i j k | m n o p | q r s t u y w [x] [y] z

(http://linkage.rockefeller.edu/soft/)

Other analytic methods

- Recursive Partitioning (CART; Breiman 1984, Foulkes 2005)
- Random Forests (Pavolov 1997)
- Combinatorial Partitioning (Nelson 2001)
- Multifactor-Dimensionality Reduction (Ritchie 2001)
- Permutation-Based Procedures (Trimming/Weighting; Hoh 2000)
- Multivariate Adaptive Regression Splines (Friedman 1991)
- Boosting (Schapire 1990)
- Support Vector Machines (Vapnik 2000)
- Neural Networks (Friedman & Tukey 1974, Friedman & Stuetzle 1981)
- Bayesian Pathway Modeling (Conti 2003, Cortessis & Thomas 2004)
- Clique-Finding (Mushlin 2006)

What is a multiple testing correction?

- Simultaneously test m null hypotheses, one for each SNP j H_{0j} : no association between SNP j and the trait
- Every statistical test comes with an inherent false positive, or type I error rate—which is equal to the threshold set for statistical significance, generally 0.05.
- However, this is just the error rate for one test. When more than one test is run, the overall type I error rate is much greater than 5%.

What is a multiple testing correction?

- Suppose 100 statistical tests are run when (1) there are no real effects and (2) these tests are independent, then the probability that no false positives occur in 100 tests is 0.95¹⁰⁰ = 0.006. So the probability that at least one false positive occurs is 1-0.006=0.994 or 99.4%
- There is not a single measure to quantify false positives (Hochberg et al 1987).
- Several multiple testing corrections have been developed and curtailed to a genome-wide association context, when deemed necessary: *Bonferroni* (highly conservative) [divide each single SNP-based p-value by the nr of tests before comparing to the nominal sign level 0.05] vs *permutation-based* (highly computational demanding) [keep the LD structure, but swap the trait labels among the subjects]

4.c Replication nature

Senetics Freely associating

Editorial: Once and Again—Issues Surrounding Replication in Genetic Association Studies

May

J. Hirschhorn PERSPECTIVE

The Future of Association Studies: Gene-Based Analysis and Replication

Benjamin M. Neale¹ and Pak C. Sham^{1,2}

Am .I Hum Genet July

Editorial

Replication Publication

Mark Patterson

Statistical false positive or true disease pathway?

K Van Steen

John A Todd

Nat Genet July 2006

What does replication mean?

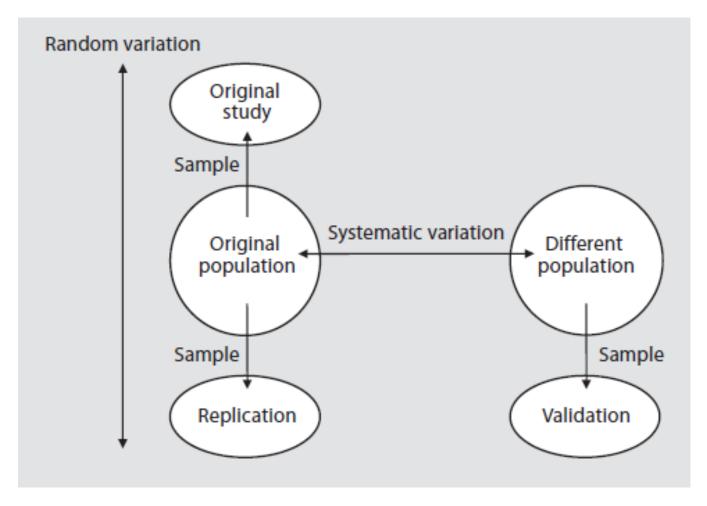
- Replicating the genotype-phenotype association is the "gold standard" for "proving" an association is genuine
- Most loci underlying complex diseases will not be of large effect. It is unlikely that a single study will unequivocally establish an association without the need for replication
- SNPs most likely to replicate:
 - Showing modest to strong statistical significance
 - Having common minor allele frequency
 - Exhibiting modest to strong genetic effect size
- Note: Multi-stage design analysis results should not be seen as "evidence for replication" ...

Guidelines for replication studies

- Replication studies should be of sufficient size to demonstrate the effect
- Replication studies should conducted in independent datasets
- Replication should involve the same phenotype
- Replication should be conducted in a similar population
- The same SNP should be tested
- The replicated signal should be in the same direction
- Joint analysis should lead to a lower p-value than the original report
- Well-designed negative studies are valuable

→ check the NHGRI Catalog of GWA studies www.genome.gov/gwastudies/

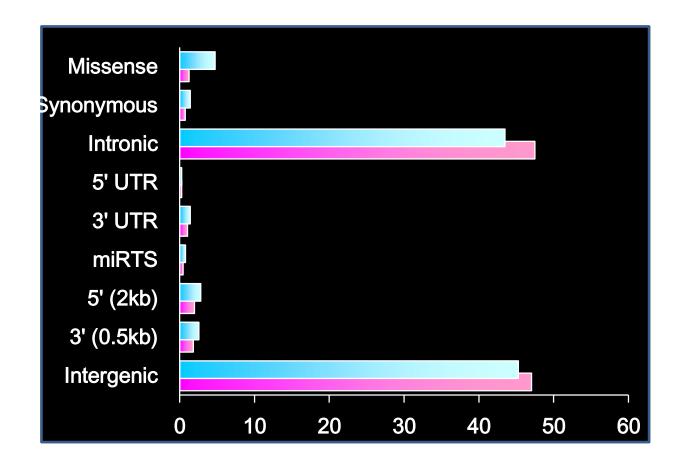
What does validation mean?



(Igl et al. 2009)

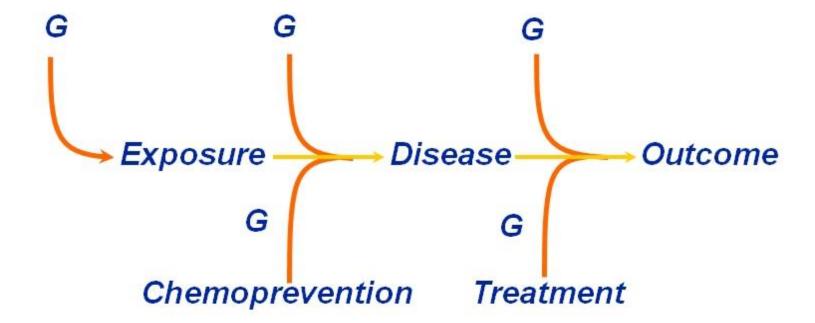
5 Interpretation and follow-up

What have GWA studies learnt us about functionality? (Manolio 2010)



What have GWA studies learnt us about functionality?

(Rebbeck et al 2004)



Are there criteria for assessing the functional significance of a variant?

Criterion	Strong Support	Moderate Support	Neutral Information	Evidence Against
Nucleotide Sequence	Variant disrupts a known functional motif	missense change, disrupts putative functional motif	-	Non- functional change
Evolutionary Conservation	Strong conservation across species, multigene family	Some conservation across species or multigene family	Not known	No conservation
Population Genetics	Strong deviations from expected frequencies	Some deviations from expected frequencies	Not known	No deviations from expected frequencies
Experimental	Consistent evidence in human target tissue	Some evidence	No data available	No functional effect
Exposures	Variant affects relevant metabolism in target tissue	Variant affects metabolism	No data available	Variant does not affect metabolism
Epidemiology	Consistent and reproducible reports	Reports without replication	No data available	No association

"The more we find, the more we see, the more we come to learn."

The more that we explore, the more we shall return."

Sir Tim Rice, Aida, 2000

Main References:

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